

Physics-Based Machine Learning for Fiber-Optic Communication Systems

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Workshop on Machine Learning and Optical Systems
(Boston Chapter of the IEEE Photonics Society)
October 28, 2020

The logo for FORCE (Fiber-Optic Communications Research Center) features the word "FORCE" in a bold, black, sans-serif font. The letter "O" is replaced by a stylized graphic of a fan or a fiber optic bundle, consisting of multiple lines radiating from a central point.

FIBER-OPTIC COMMUNICATIONS
RESEARCH CENTER



CHALMERS

Thank You!



Henry D. Pfister
Duke



Christoffer Fougsted
Chalmers (now: Ericsson)



Lars Svensson
Chalmers



Per Larsson-Edefors
Chalmers



Rick M. Büttler
TU/e (now: TU Delft)



Gabriele Liga
TU/e



Alex Alvarado
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Vinícius Oliari
TU/e



Sebastiaan Goossens
TU/e



Menno van den Hout
TU/e

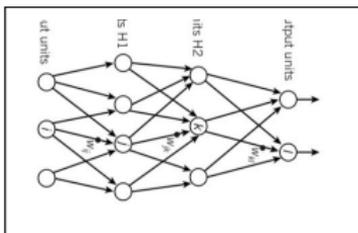


Sjoerd van der Heide
TU/e

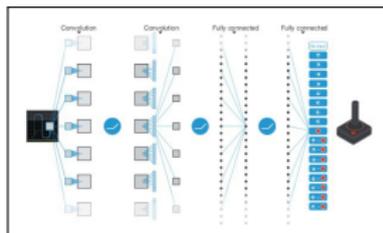


Chigo Okonkwo
TU/e

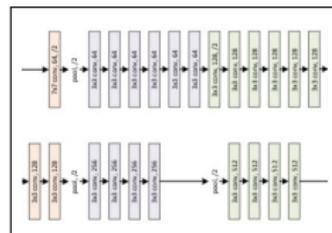
Deep Learning [LeCun et al., 2015]



Deep Q-Learning [Mnih et al., 2015]



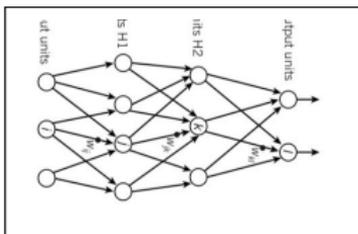
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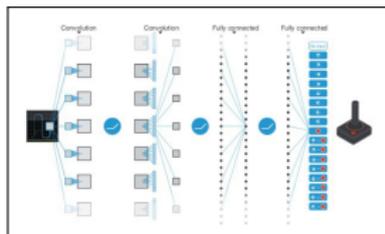
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Multi-layer neural networks: impressive performance, countless applications

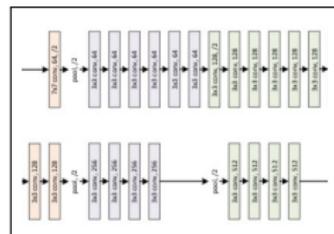
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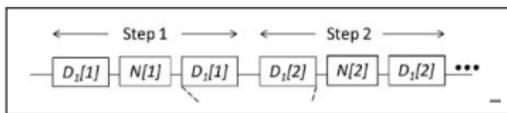
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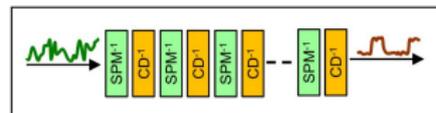
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Multi-layer neural networks: impressive performance, countless applications



[Du and Lowery, 2010]



[Nakashima et al., 2017]

Split-step methods for solving the propagation equation in fiber-optics

Agenda

In this talk, we ...

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1. show that **multi-layer neural networks** and the **split-step method** have the same functional form: both alternate **linear** and **pointwise nonlinear** steps

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2. propose a **physics-based machine-learning** approach based on **parameterizing** the split-step method (**no black-box** neural networks)

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In this talk, we ...

1. show that **multi-layer neural networks** and the **split-step method** have the same functional form: both alternate **linear** and **pointwise nonlinear** steps
2. propose a **physics-based machine-learning** approach based on **parameterizing** the split-step method (**no black-box** neural networks)
3. revisit **hardware-efficient** nonlinear equalization via **learned digital backpropagation**

Outline

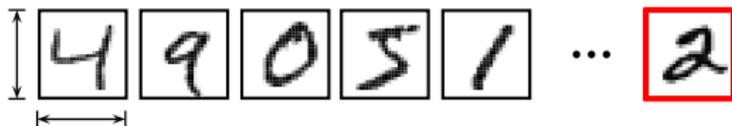
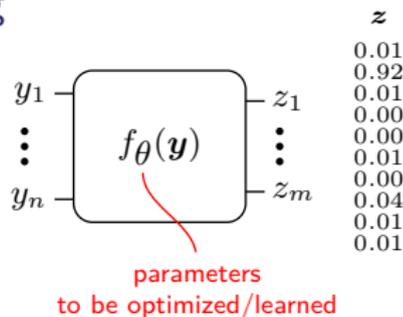
1. Machine Learning and Neural Networks for Communications
2. Physics-Based Machine Learning for Fiber-Optic Communications
3. Learned Digital Backpropagation
4. Polarization-Dependent Effects
5. Wideband Signals
6. Conclusions

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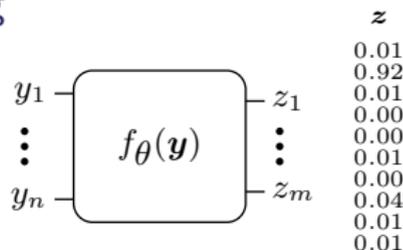
Supervised Learning

handwritten digit recognition (MNIST: 70,000 images)

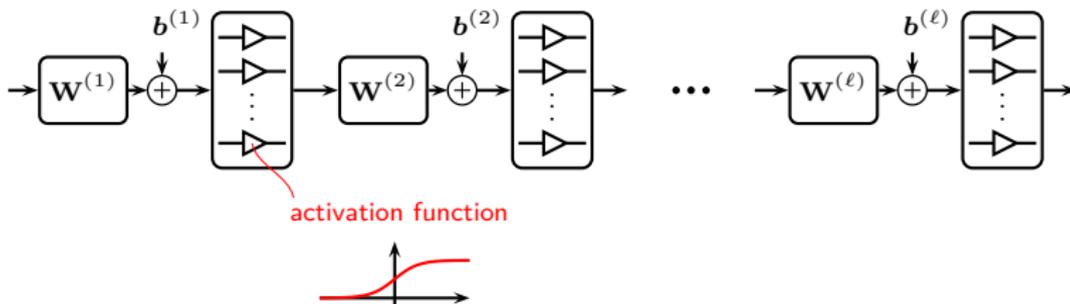
 28×28 pixels $\Rightarrow n = 784$ 

Supervised Learning

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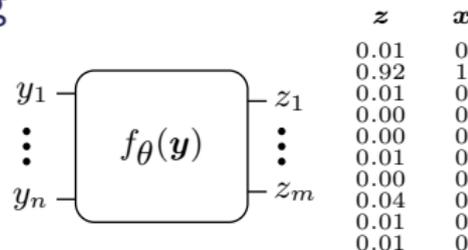
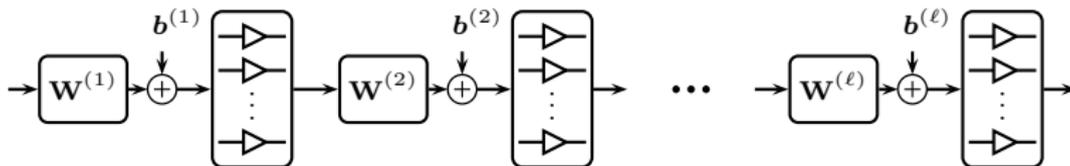


How to choose $f_\theta(y)$? **Deep feed-forward neural networks**



Supervised Learning

handwritten digit recognition (MNIST: 70,000 images)

How to choose $f_\theta(\mathbf{y})$? Deep feed-forward neural networksHow to optimize $\theta = \{\mathbf{W}^{(1)}, \dots, \mathbf{W}^{(\ell)}, \mathbf{b}^{(1)}, \dots, \mathbf{b}^{(\ell)}\}$? Deep learning

$$\min_{\theta} \sum_{i=1}^N \text{Loss}(f_{\theta}(\mathbf{y}^{(i)}), \mathbf{x}^{(i)}) \triangleq g(\theta) \quad \text{using} \quad \theta_{k+1} = \theta_k - \lambda \nabla_{\theta} g(\theta_k) \quad (1)$$

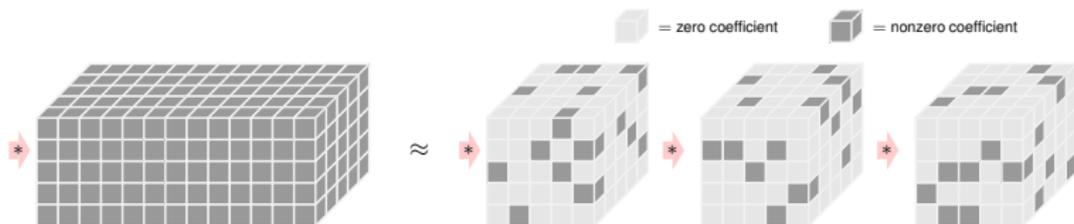
mean squared error
cross-entropy, ...

stochastic gradient descent,
RMSProp, Adam, ...

Why Deep Models?

Many possible answers

One advantage is complexity: **deep** computation graphs tend to be **more parameter efficient than shallow** graphs [Lin et al., 2017]



- **Sparsity** can emerge due to **(approximate) factorization** (even for linear models, e.g., FFT)
- Deep computation graphs allow for **very simple elementary steps**
- Deep models typically have **many “good” parameter configurations** that are close to each other \implies **robustness** to, e.g., quantization noise

Machine Learning for Physical-Layer Communications



Machine Learning for Physical-Layer Communications



[Shen and Lau, 2011], Fiber nonlinearity compensation using extreme learning machine for DSP-based ... , (*OECC*)

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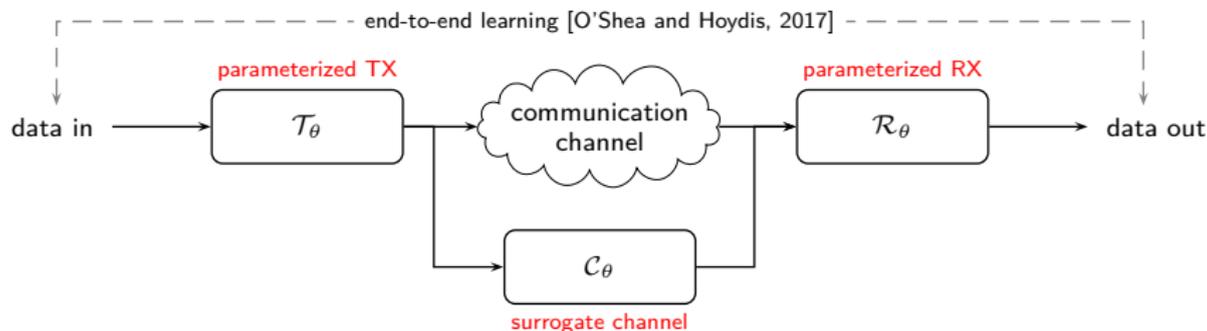
[Karanov et al., 2018], End-to-end deep learning of optical fiber communications (*J. Lightw. Technol.*)

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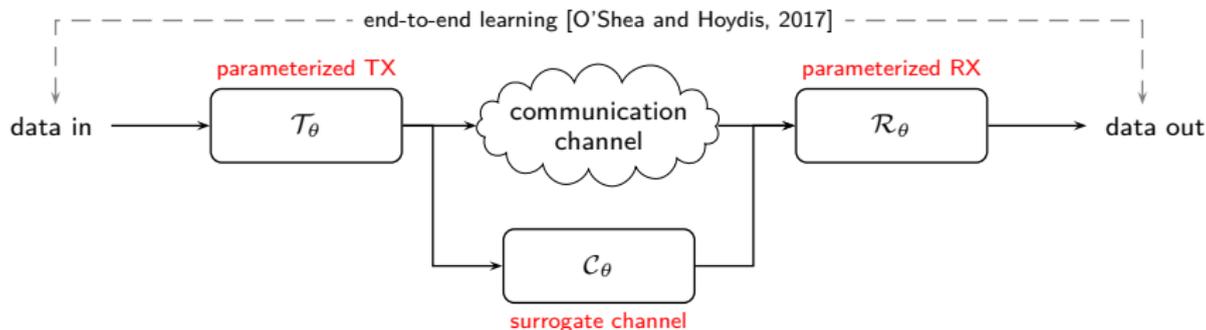
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- [O'Shea et al., 2018], Approximating the void: Learning stochastic channel models from observation with variational GANs, (*arXiv*)
 [Ye et al., 2018], Channel agnostic end-to-end learning based communication systems with conditional GAN, (*arXiv*)

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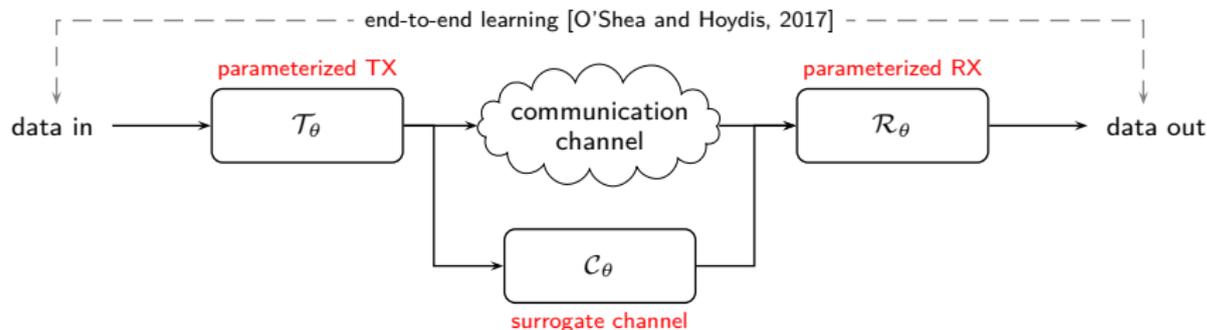
Machine Learning for Physical-Layer Communications



Using (deep) neural networks for $\mathcal{T}_\theta, \mathcal{R}_\theta, \mathcal{C}_\theta$

- How to choose **network architecture** (#layers, activation function)?
- How to **initialize** parameters?
- How to **interpret** solutions? Any **insight** gained?
- ...

Machine Learning for Physical-Layer Communications



Using (deep) neural networks for $\mathcal{T}_\theta, \mathcal{R}_\theta, \mathcal{C}_\theta$

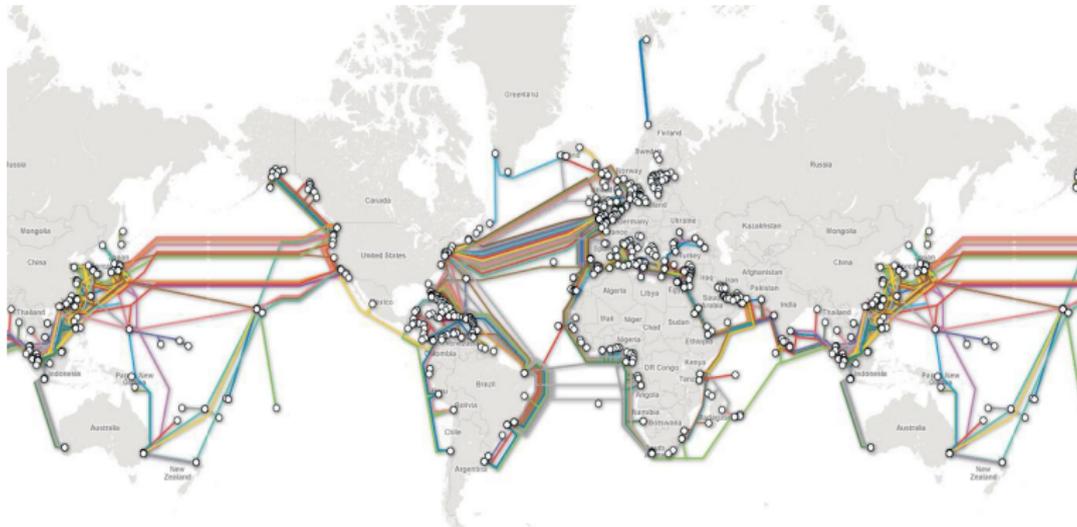
- How to choose **network architecture** (#layers, activation function)? ✗
- How to **initialize** parameters? ✗
- How to **interpret** solutions? Any **insight** gained? ✗
- ...

Model-based learning: sparse signal recovery [Gregor and Lecun, 2010], [Borgerding and Schniter, 2016], neural belief propagation [Nachmani et al., 2016], radio transformer networks [O'Shea and Hoydis, 2017], ...

Outline

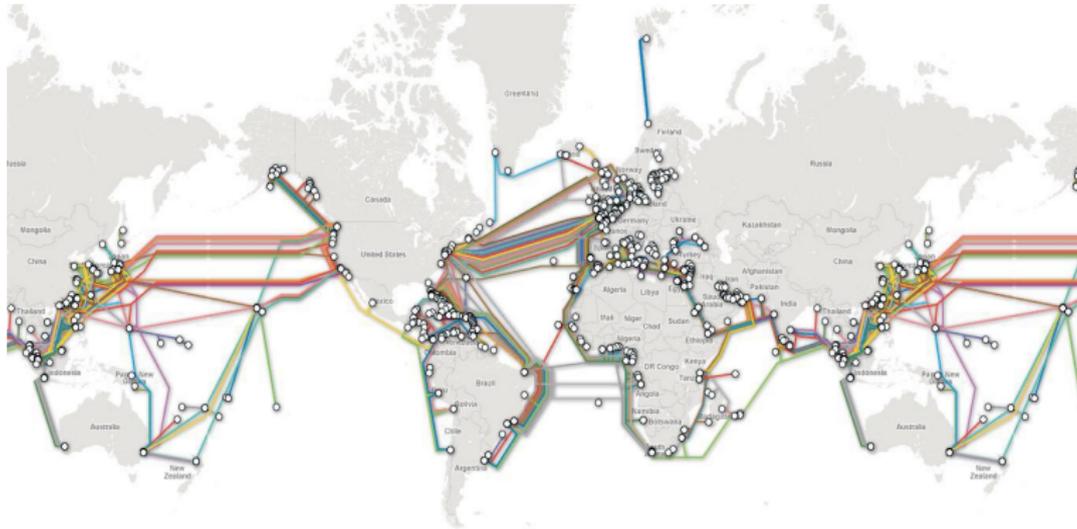
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Fiber-Optic Communications



Fiber-optic systems enable **data traffic over very long distances** connecting cities, countries, and continents.

Fiber-Optic Communications

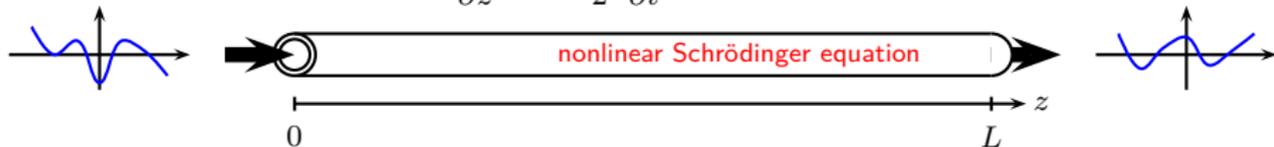


Fiber-optic systems enable **data traffic over very long distances** connecting cities, countries, and continents.

- **Dispersion:** different wavelengths travel at different speeds (linear)
- **Kerr effect:** refractive index changes with signal intensity (nonlinear)

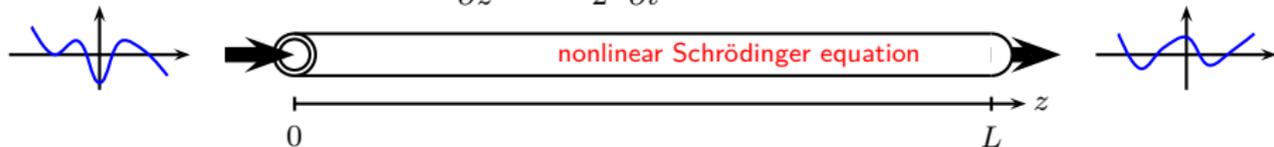
Channel Modeling

$$\frac{\partial u}{\partial z} = -j\frac{\beta_2}{2} \frac{\partial^2 u}{\partial t^2} + j\gamma u|u|^2$$



Channel Modeling

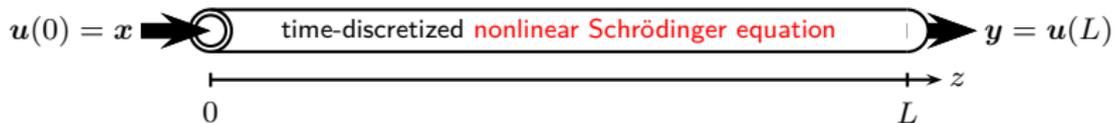
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- Sampling over a fixed time interval $\implies \mathcal{F} : \mathbb{C}^n \rightarrow \mathbb{C}^n$

Channel Modeling

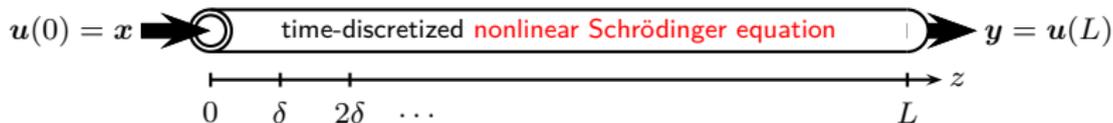
$$\frac{d\mathbf{u}(z)}{dz} = \mathbf{A}\mathbf{u}(z) + \mathcal{N}\rho(\mathbf{u}(z))$$



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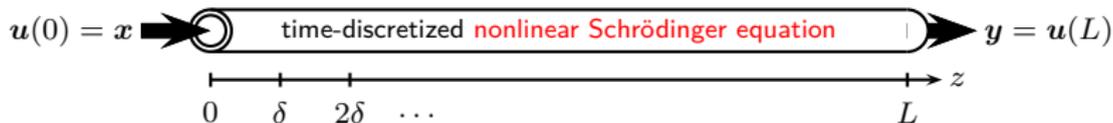
$$\frac{d\mathbf{u}(z)}{dz} = \mathbf{A}\mathbf{u}(z) + \gamma\gamma\rho(\mathbf{u}(z))$$



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- **Split-step method** with M steps ($\delta = L/M$):

Channel Modeling

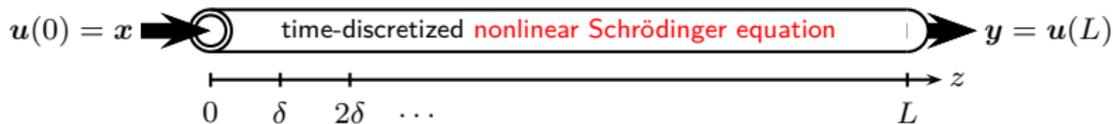
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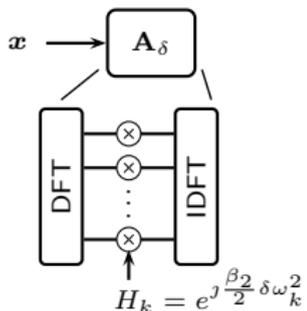
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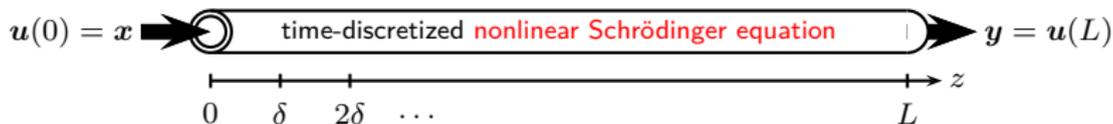
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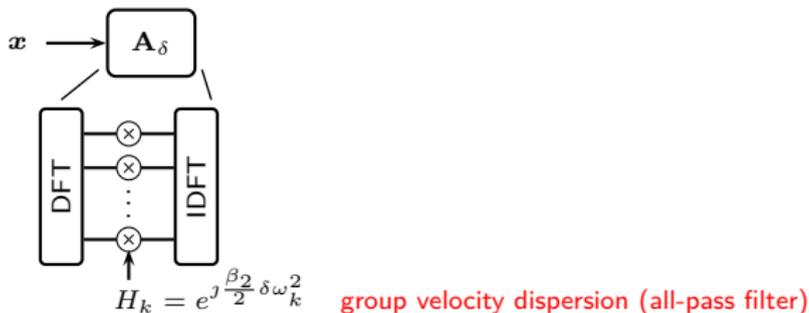
group velocity dispersion (all-pass filter)

Channel Modeling

$$\frac{d\mathbf{u}(z)}{dz} = + \gamma \rho(\mathbf{u}(z)) \quad \rho(x) = |x|^2 x \text{ element-wise}$$

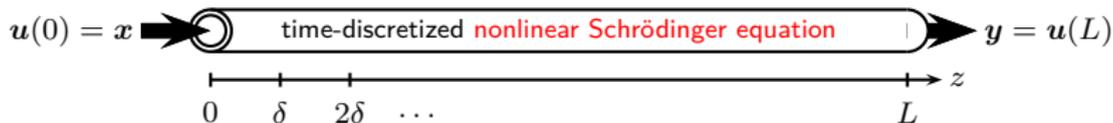


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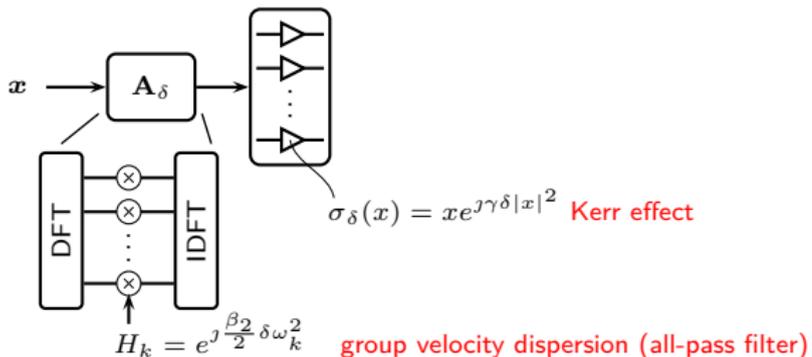


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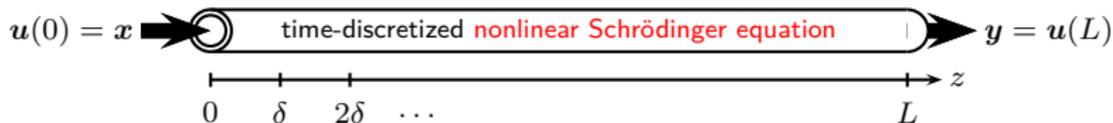


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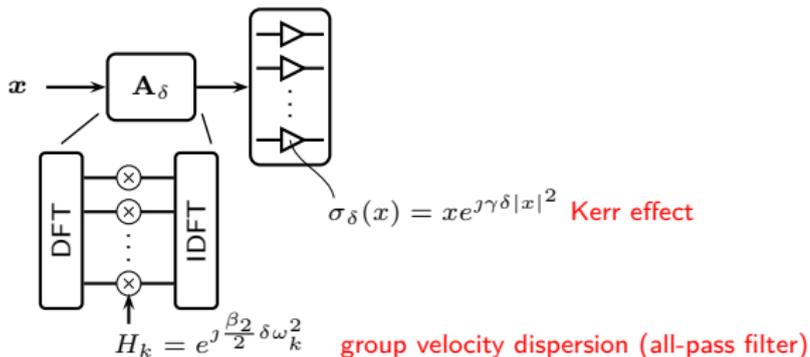


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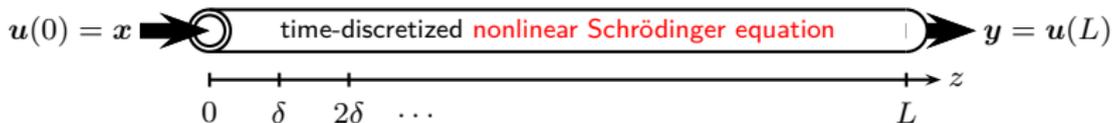


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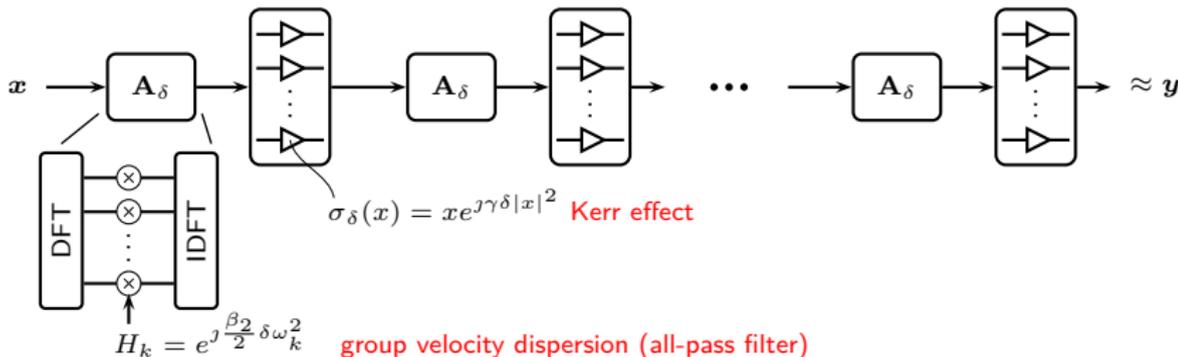


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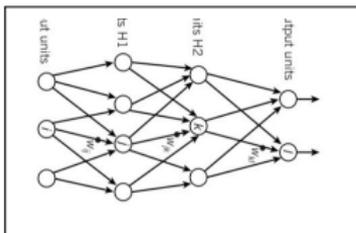
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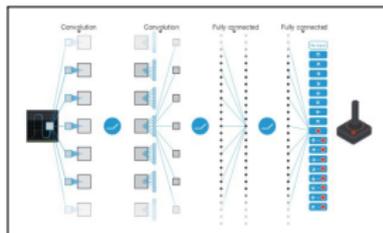
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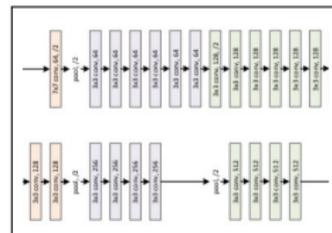
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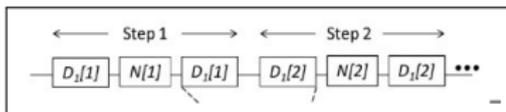
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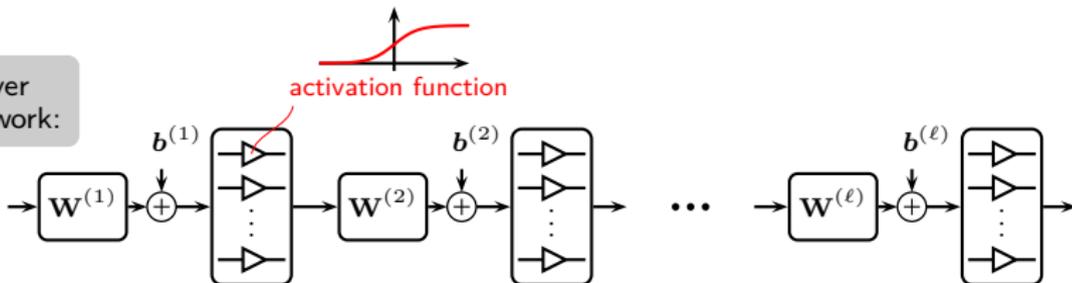
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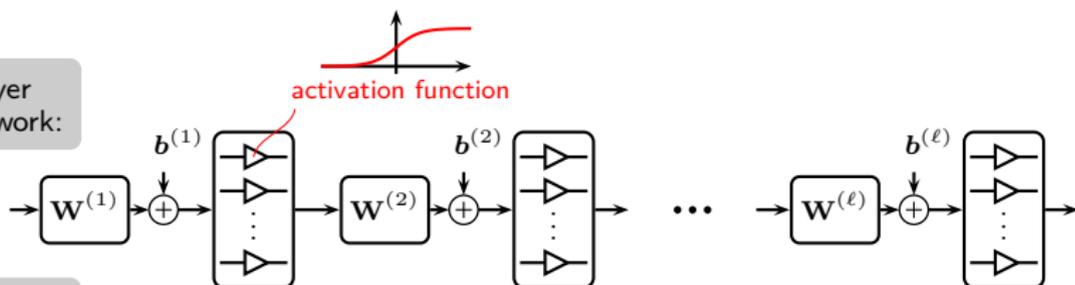
Parameterizing the Split-Step Method

multi-layer
neural network:

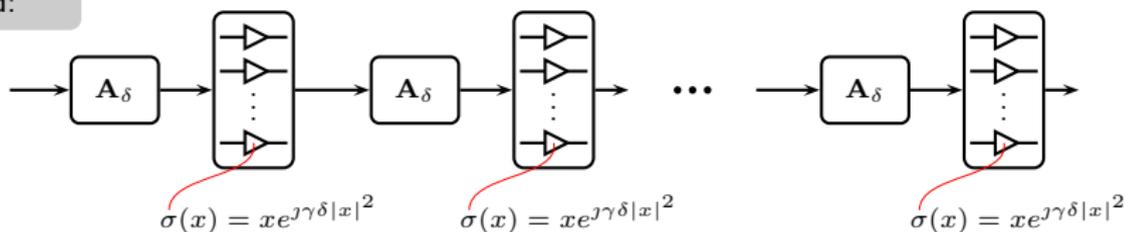


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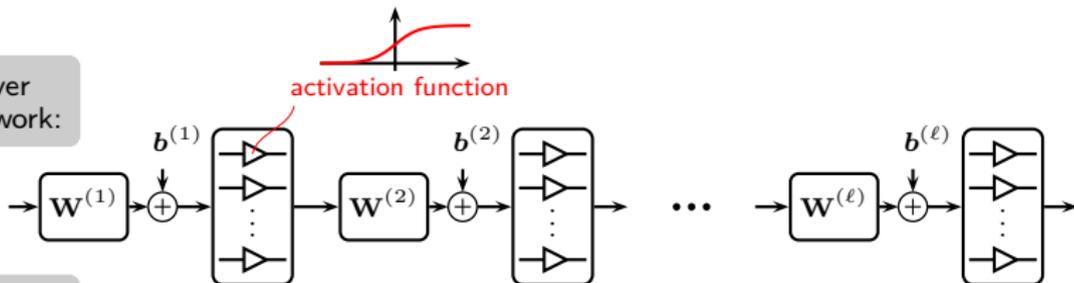


split-step
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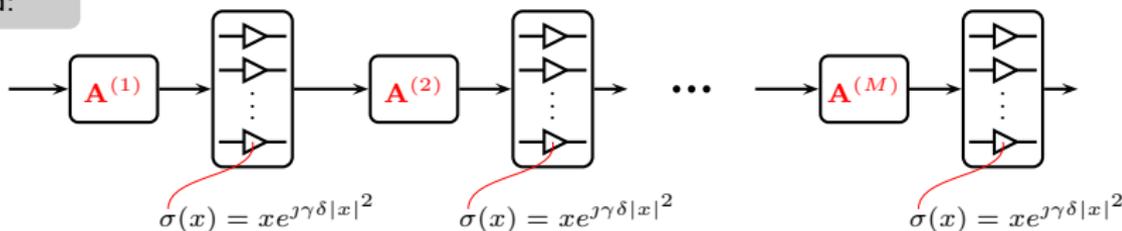


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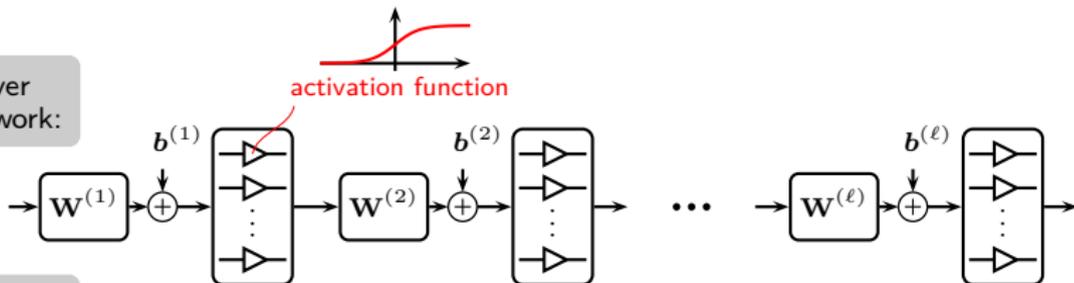


[Häger & Pfister, 2018], Nonlinear Interference Mitigation via Deep Neural Networks, (OFC)

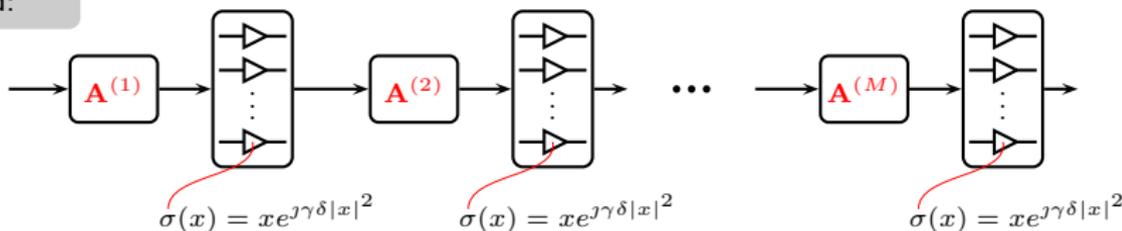
[Häger & Pfister, 2018], Deep Learning of the Nonlinear Schrödinger Equation in Fiber-Optic Communications, (ISIT)

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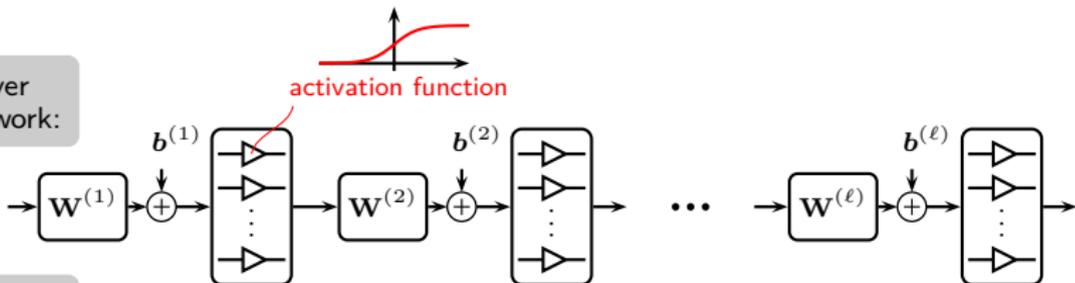
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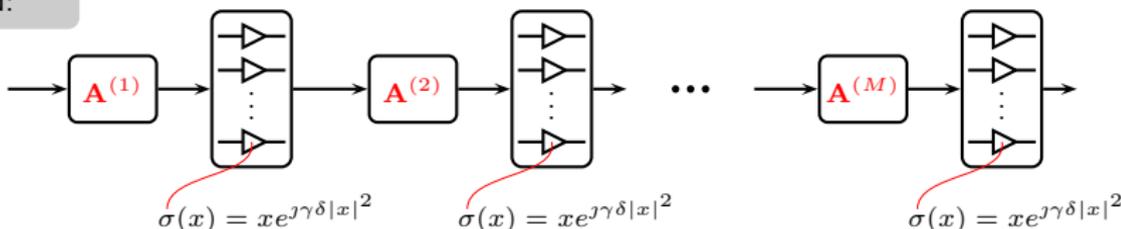
- Parameterized model f_θ with $\theta = \{\mathbf{A}^{(1)}, \dots, \mathbf{A}^{(M)}\}$

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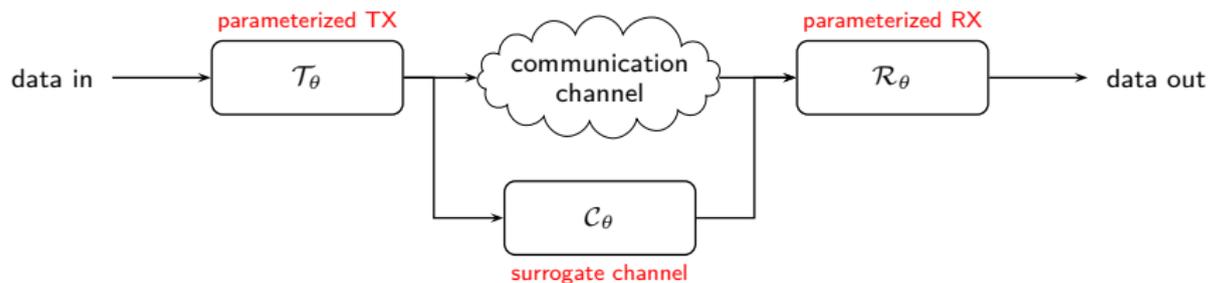


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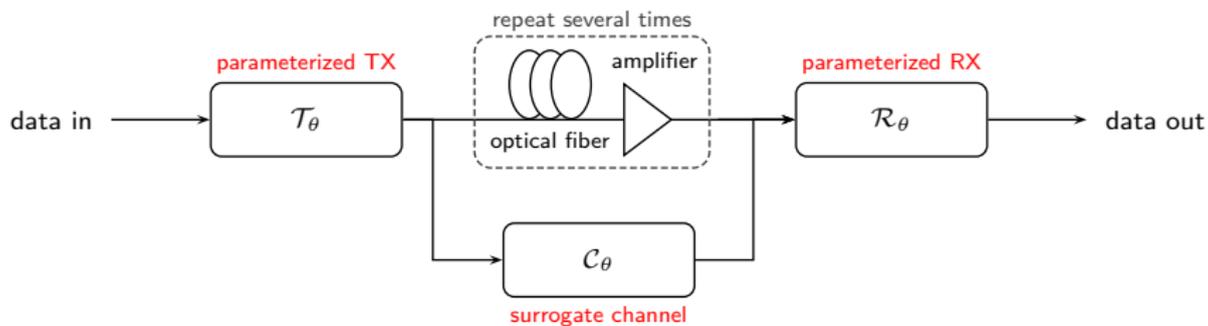


- **Parameterized model** f_θ with $\theta = \{\mathbf{A}^{(1)}, \dots, \mathbf{A}^{(M)}\}$
- Includes as special cases: step-size optimization, “placement” of nonlinear operator, higher-order dispersion, matched filtering ...

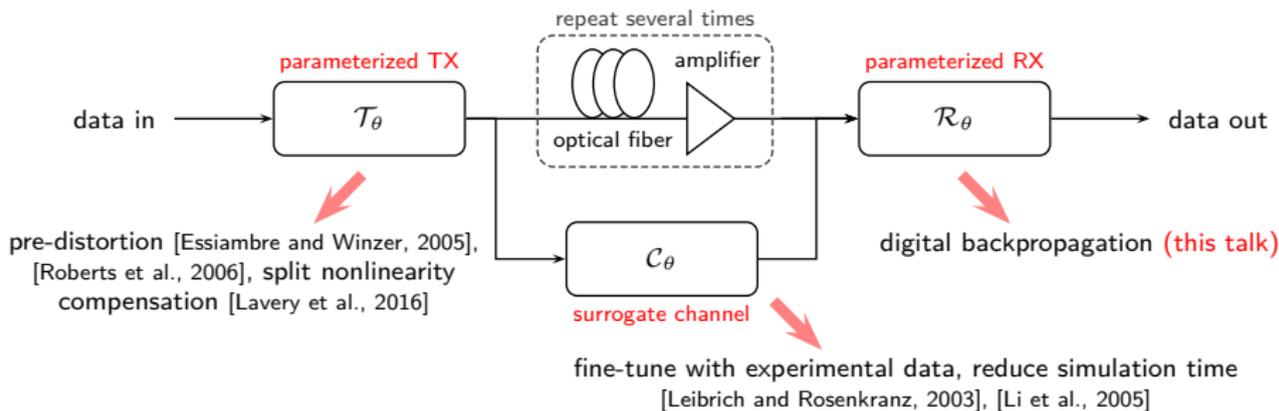
Possible Applications



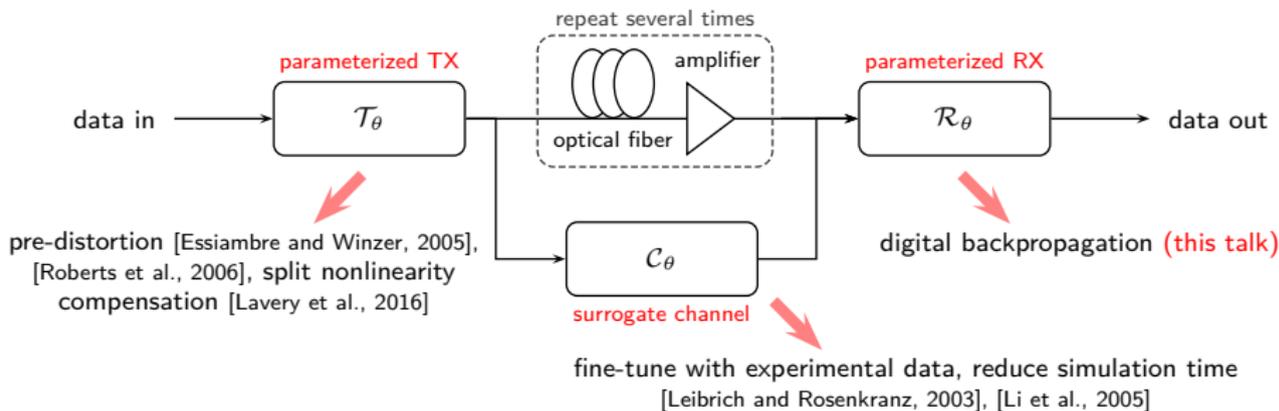
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Possible Applications



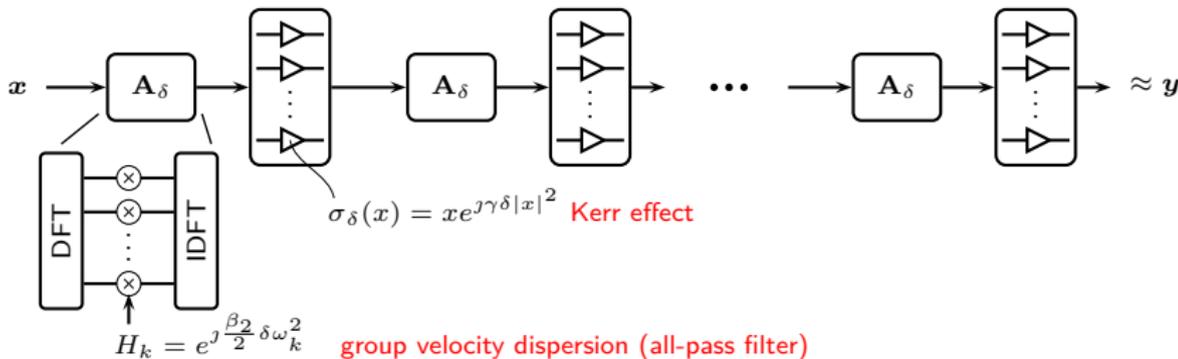
Physics/model-based learning approaches

- How to choose **network architecture** (#layers, activation function)? ✓
- How to **initialize** parameters? ✓
- How to **interpret** solutions? Any **insight** gained? ✓

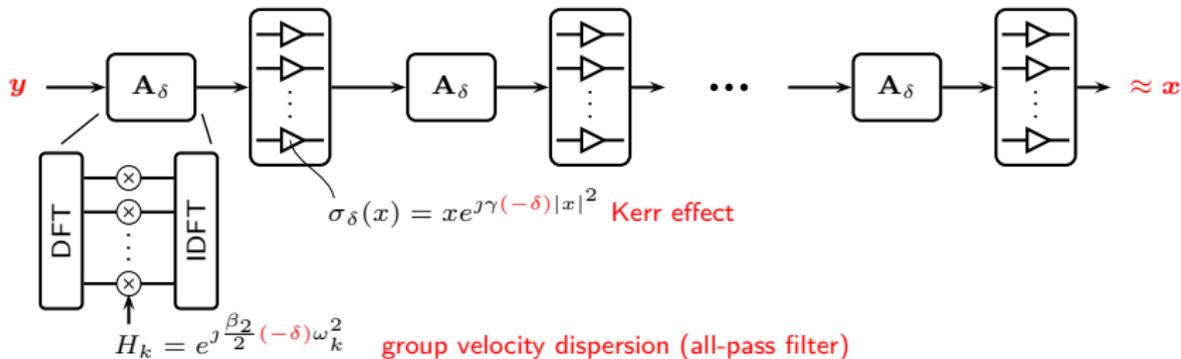
Outline

1. Machine Learning and Neural Networks for Communications
2. Physics-Based Machine Learning for Fiber-Optic Communications
- 3. Learned Digital Backpropagation**
4. Polarization-Dependent Effects
5. Wideband Signals
6. Conclusions

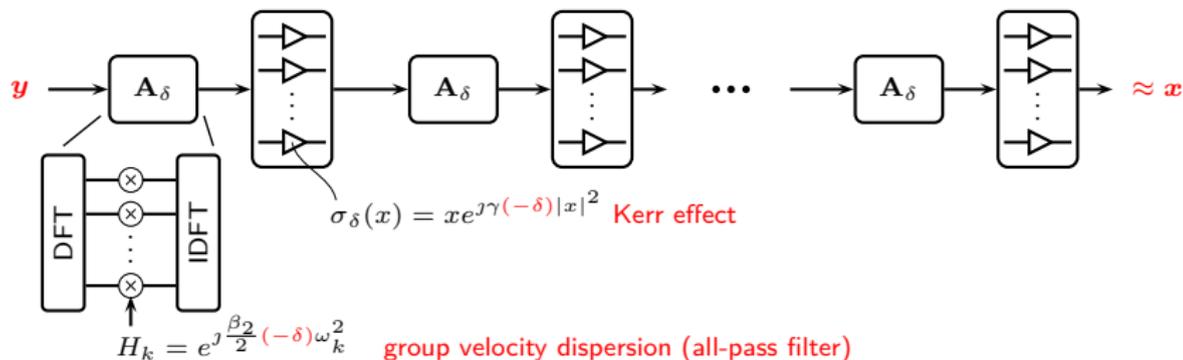
Digital Backpropagation



Digital Backpropagation

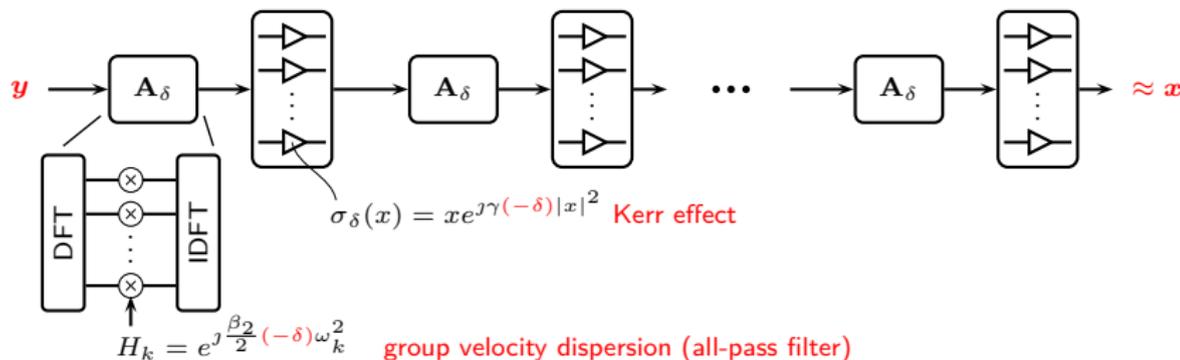


Digital Backpropagation



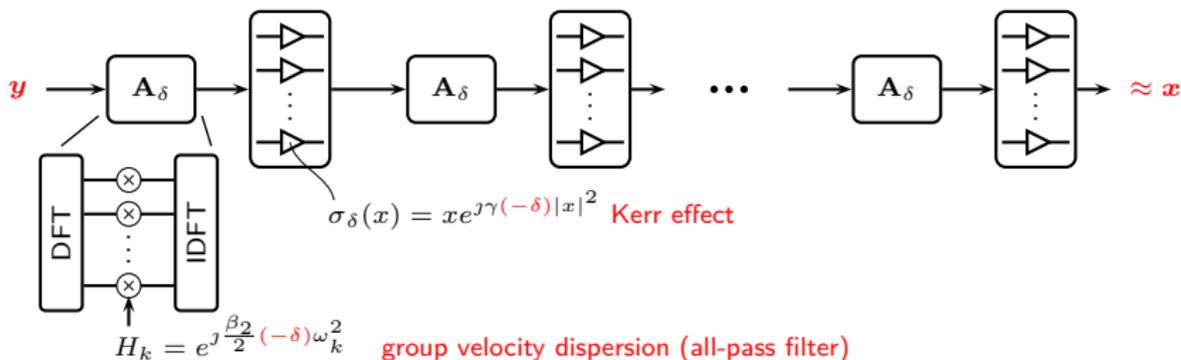
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Digital Backpropagation



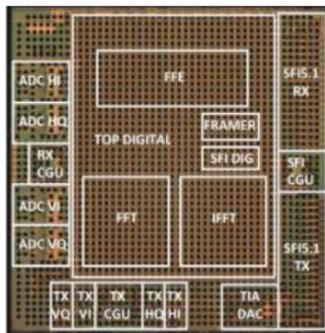
- Fiber with negated parameters ($\beta_2 \rightarrow -\beta_2$, $\gamma \rightarrow -\gamma$) would perform perfect channel inversion [Paré et al., 1996] (ignoring attenuation)
- **Digital backpropagation**: invert a partial differential equation **in real time** [Essiambre and Winzer, 2005], [Roberts et al., 2006], [Li et al., 2008], [Ip and Kahn, 2008]

Digital Backpropagation



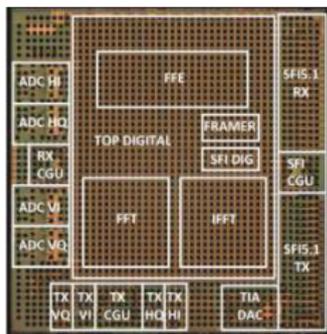
- Fiber with negated parameters ($\beta_2 \rightarrow -\beta_2$, $\gamma \rightarrow -\gamma$) would perform perfect channel inversion [Paré et al., 1996] (ignoring attenuation)
- **Digital backpropagation**: invert a partial differential equation **in real time** [Essiambre and Winzer, 2005], [Roberts et al., 2006], [Li et al., 2008], [Ip and Kahn, 2008]
- Widely considered to be impractical (**too complex**): linear equalization is already one of the **most power-hungry DSP blocks** in coherent receivers

Real-Time Digital Backpropagation

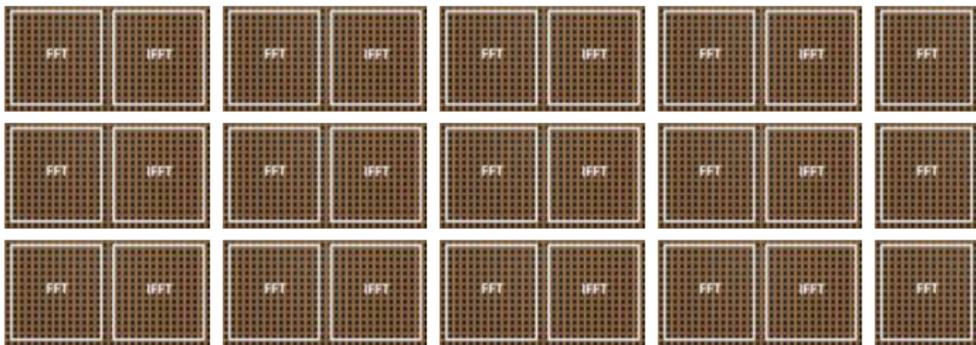


[Crivelli et al., 2014]

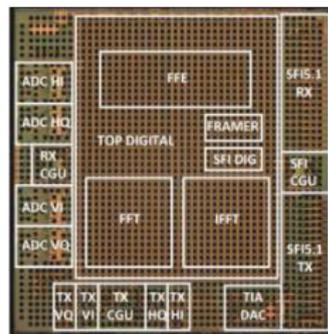
Real-Time Digital Backpropagation



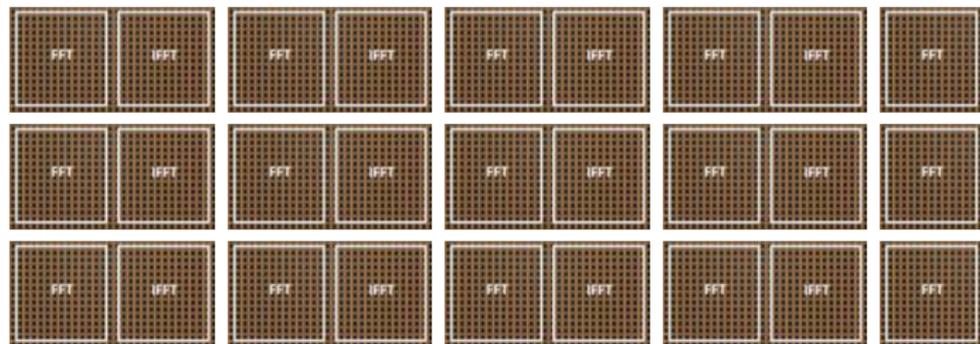
[Crivelli et al., 2014]



Real-Time Digital Backpropagation

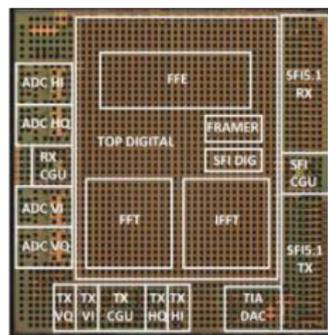


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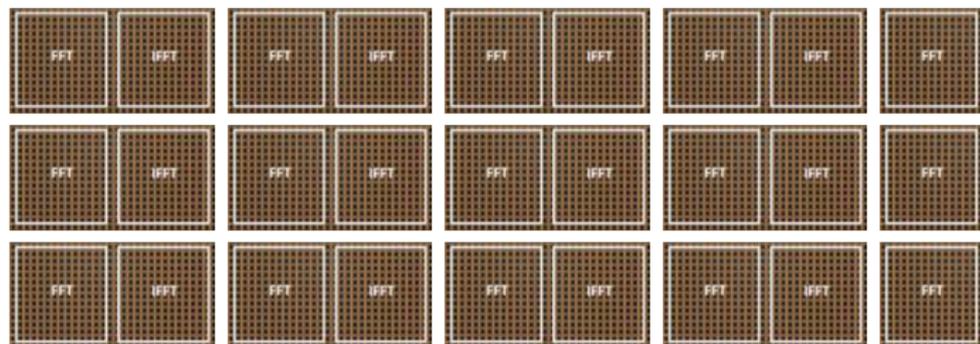


- Complexity increases with the number of steps $M \implies$ **reduce M as much as possible** (see, e.g., [Du and Lowery, 2010], [Rafique et al., 2011], [Li et al., 2011], [Yan et al., 2011], [Napoli et al., 2014], [Secondini et al., 2016], . . .)

Real-Time Digital Backpropagation

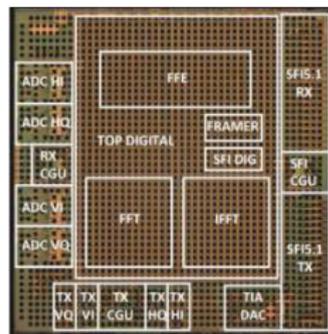


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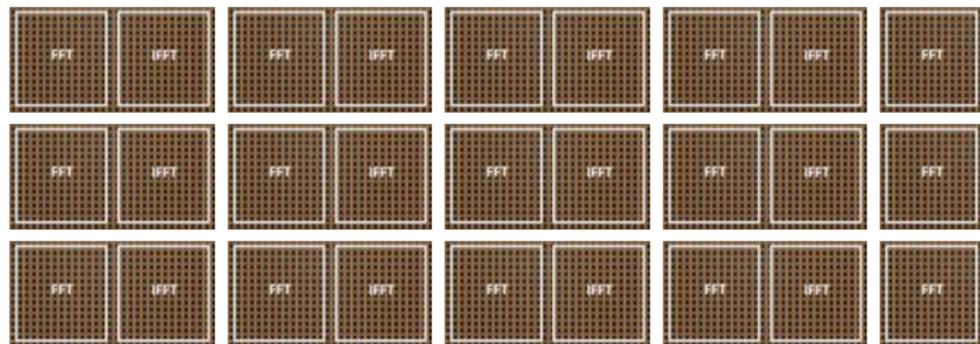


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- Intuitive, but ...

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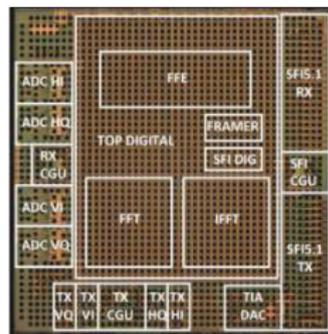


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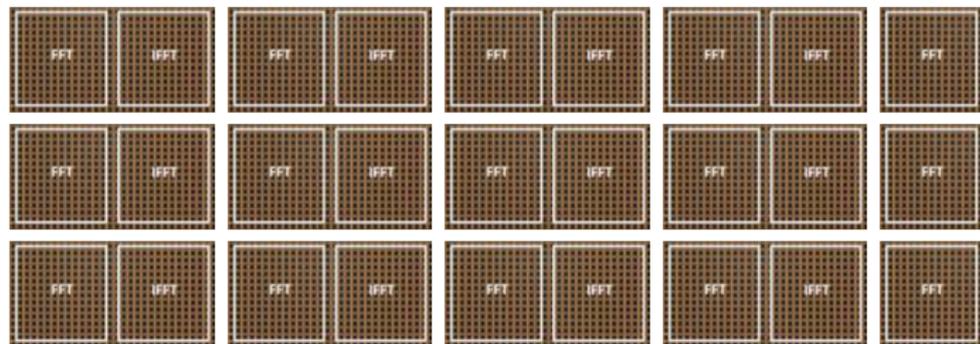


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- Intuitive, but ... this **flattens a deep (multi-layer) computation graph**

Real-Time Digital Backpropagation



[Crivelli et al., 2014]



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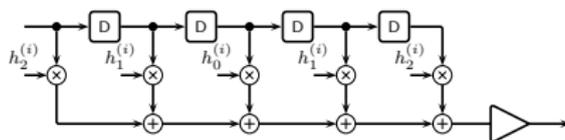
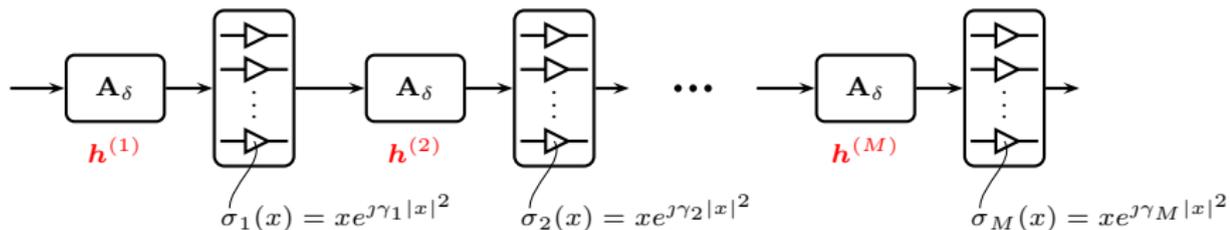
Our approach: physics-based deep learning and model compression

Joint optimization, pruning, and quantization of all linear steps \implies hardware-efficient digital backpropagation

Learned Digital Backpropagation

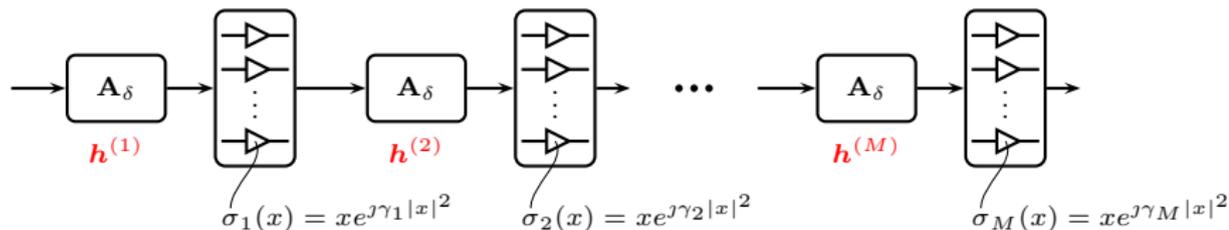
Learned Digital Backpropagation

TensorFlow implementation of the computation graph $f_{\theta}(\mathbf{y})$:



Learned Digital Backpropagation

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Deep learning of parameters $\theta = \{\mathbf{h}^{(1)}, \dots, \mathbf{h}^{(M)}\}$:

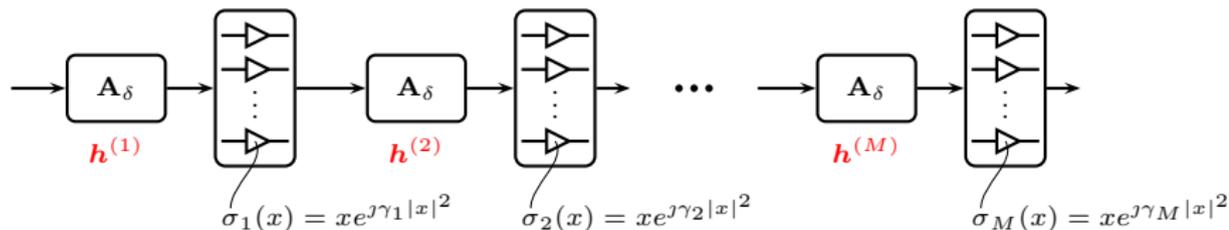
$$\min_{\theta} \sum_{i=1}^N \text{Loss}(f_{\theta}(\mathbf{y}^{(i)}), \mathbf{x}^{(i)}) \triangleq g(\theta)$$

mean squared error

using $\theta_{k+1} = \theta_k - \lambda \nabla_{\theta} g(\theta_k)$
 Adam optimizer, fixed learning rate

Learned Digital Backpropagation

TensorFlow implementation of the computation graph $f_{\theta}(\mathbf{y})$:



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mean squared error
Adam optimizer, fixed learning rate

Iteratively **prune (set to 0) outermost filter taps** during gradient descent

Iterative Filter Tap Pruning

$$\theta = \begin{cases} \mathbf{h}^{(1)} \\ \mathbf{h}^{(2)} \\ \vdots \\ \mathbf{h}^{(M)} \end{cases}$$

Iterative Filter Tap Pruning

← starting length $2K' + 1$ →

$$\theta = \left\{ \begin{array}{l} \mathbf{h}^{(1)} = (h_{K'}^{(1)} \cdots h_K^{(1)} \cdots h_1^{(1)} h_0^{(1)} h_1^{(1)} \cdots h_K^{(1)} \cdots h_{K'}^{(1)}) \quad \text{step 1} \\ \mathbf{h}^{(2)} = (h_{K'}^{(2)} \cdots h_K^{(2)} \cdots h_1^{(2)} h_0^{(2)} h_1^{(2)} \cdots h_K^{(2)} \cdots h_{K'}^{(2)}) \quad \text{step 2} \\ \vdots \\ \mathbf{h}^{(M)} = (h_{K'}^{(M)} \cdots h_K^{(M)} \cdots h_1^{(M)} h_0^{(M)} h_1^{(M)} \cdots h_K^{(M)} \cdots h_{K'}^{(M)}) \quad \text{step } M \end{array} \right.$$

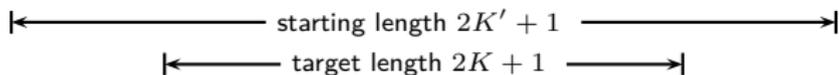
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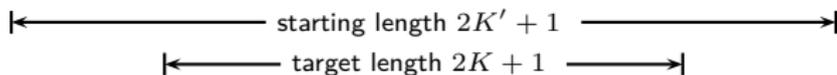
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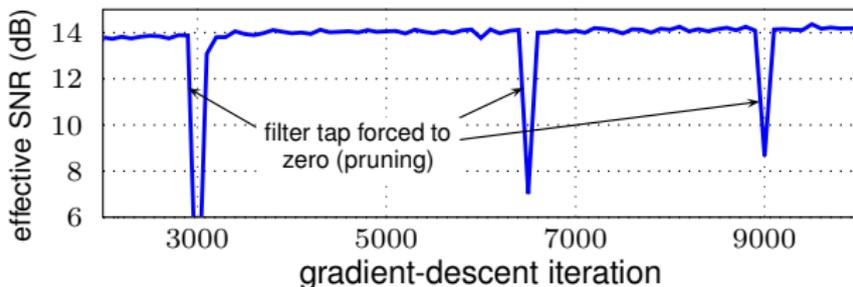
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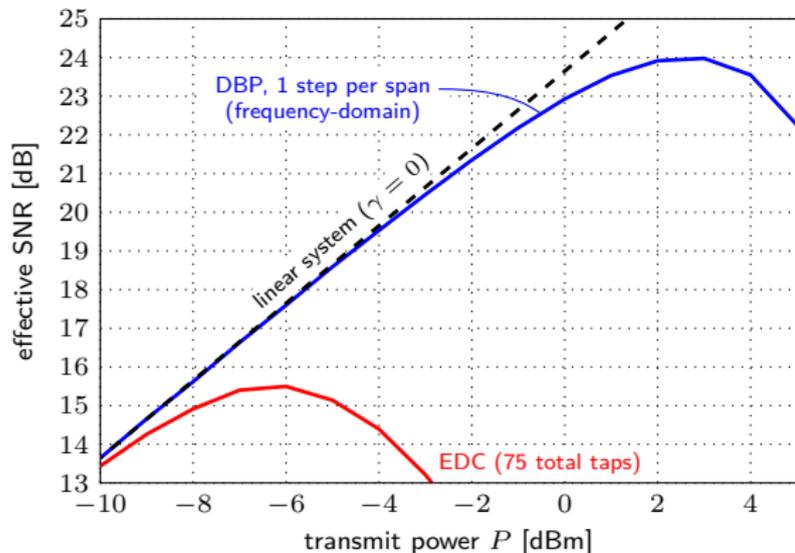


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- Initially: constrained **least-squares coefficients** (LS-CO) [Sheikh et al., 2016]
- Typical **learning curve**:



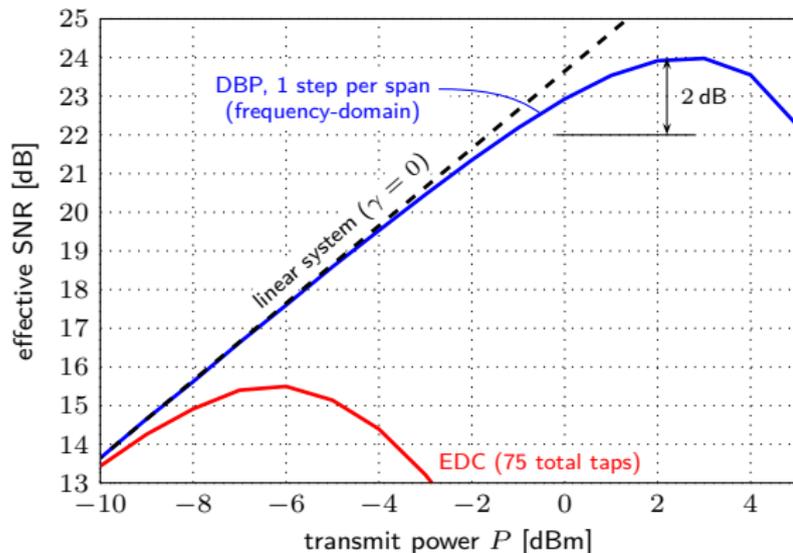
Revisiting Ip and Kahn (2008)



Parameters similar to [Ip and Kahn, 2008]:

- 25×80 km SSFM
- Gaussian modulation
- RRC pulses (0.1 roll-off)
- 10.7 Gbaud
- 2 samples/symbol processing
- single channel, single pol.

Revisiting Ip and Kahn (2008)

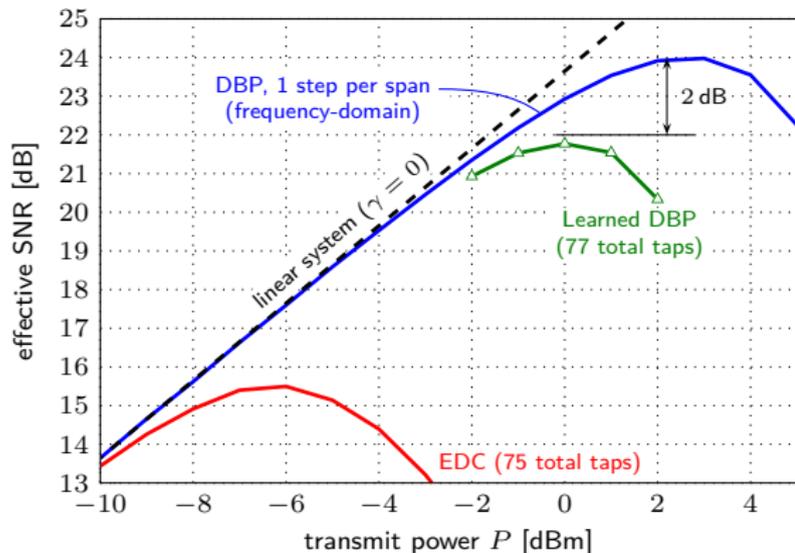


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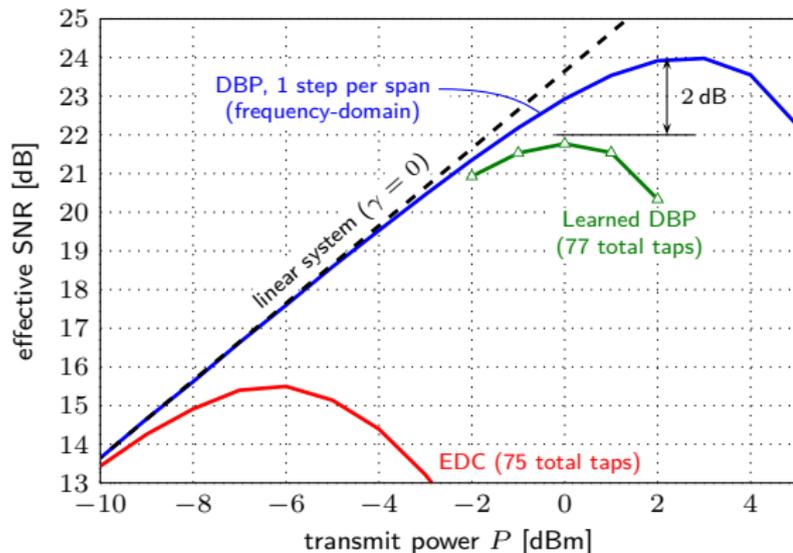


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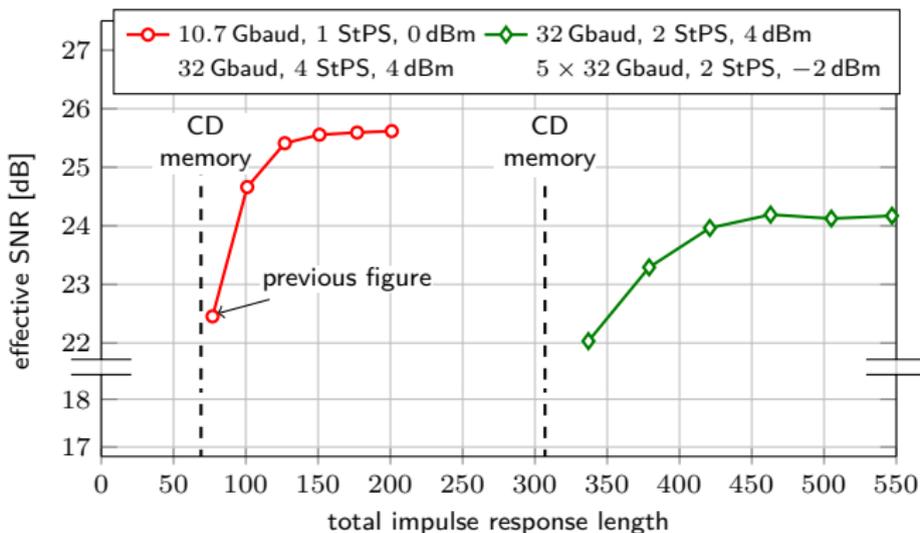


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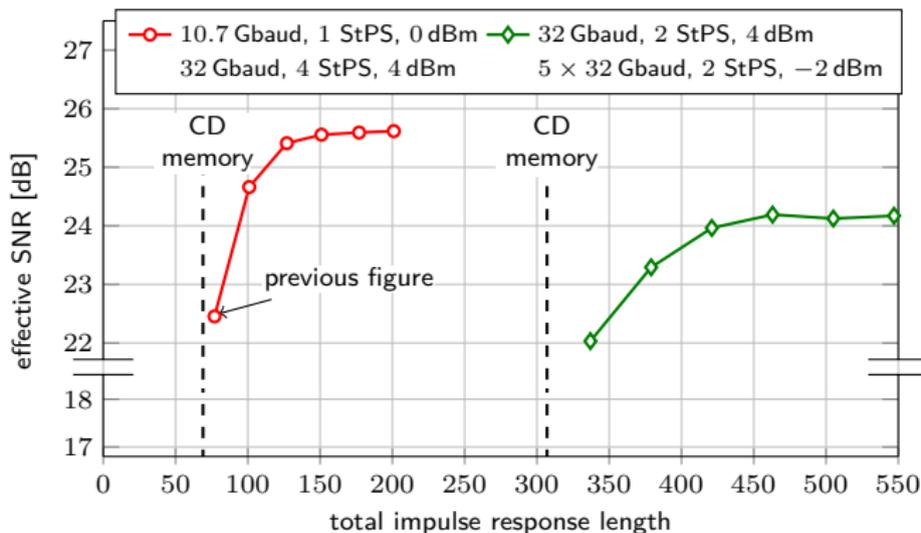
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- Learned approach uses **only 77 total taps**: alternate 5 and 3 taps/step and use **different** filter coefficients in all steps [Häger and Pfister, 2018a]
- Can **outperform "ideal DBP"** in the nonlinear regime [Häger and Pfister, 2018b]

Performance–Complexity Trade-off



Performance–Complexity Trade-off



Conventional wisdom: Steps are **inefficient** \implies reduce as much as possible

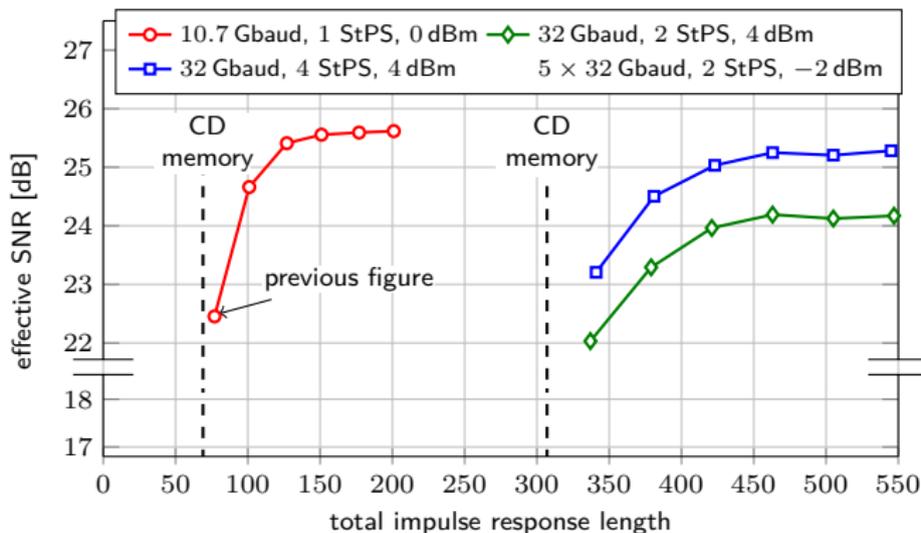
Complexity

?

\approx

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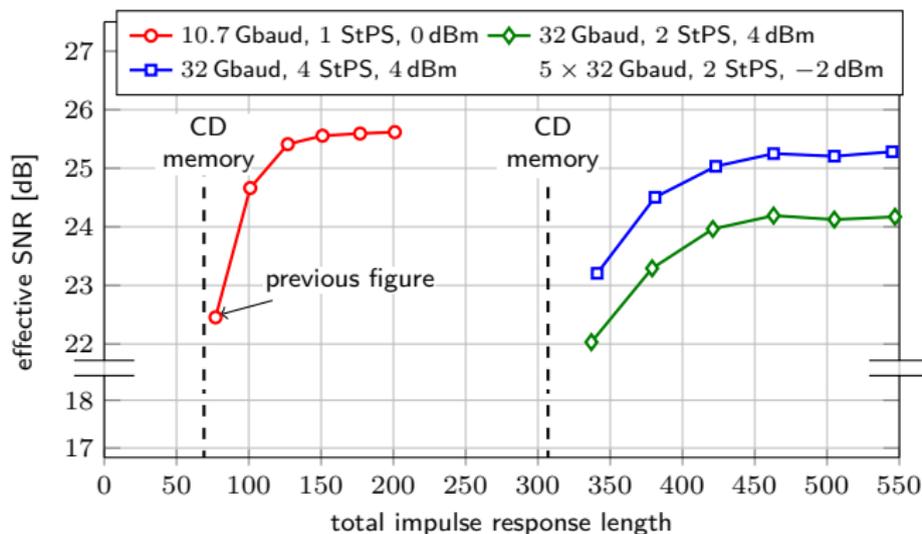
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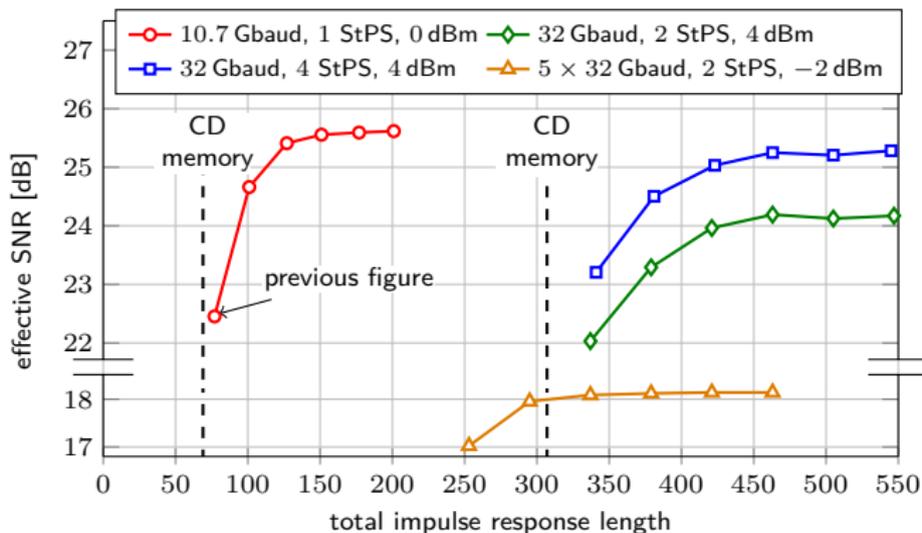
=

Number of
Steps

×

Complexity
per Step

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Complexity

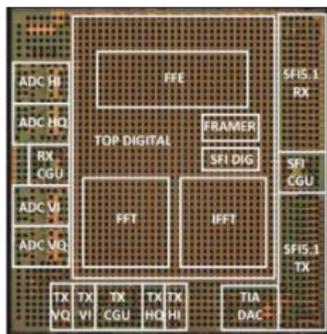
=

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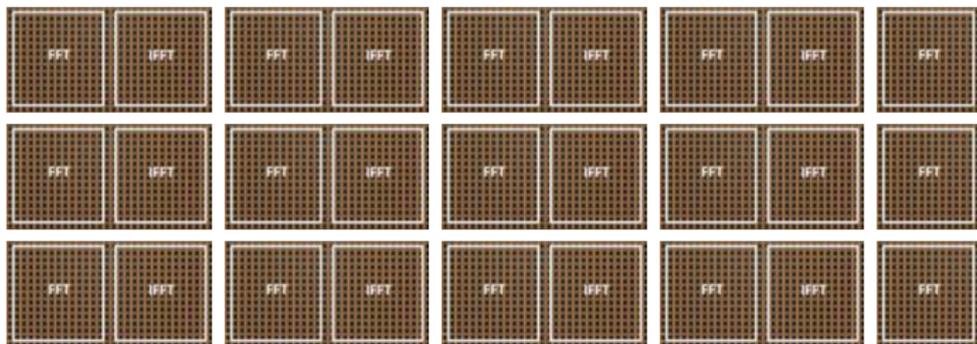
×

Complexity
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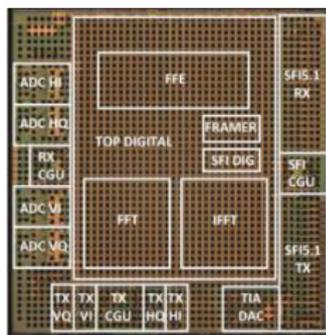
Real-Time ASIC Implementation



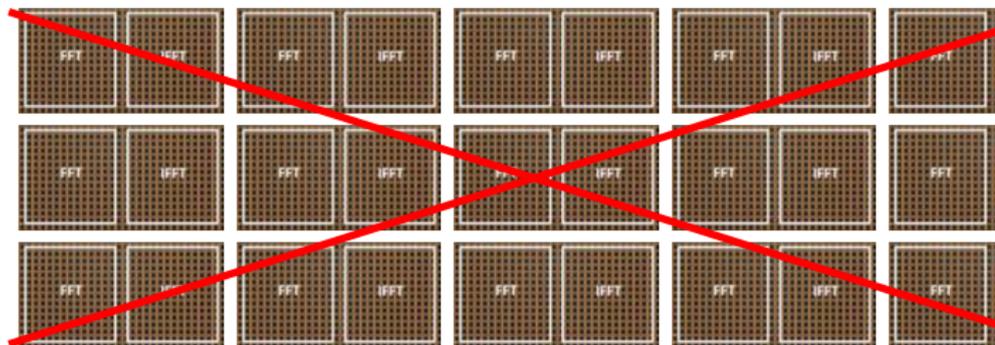
[Crivelli et al., 2014]



Real-Time ASIC Implementation

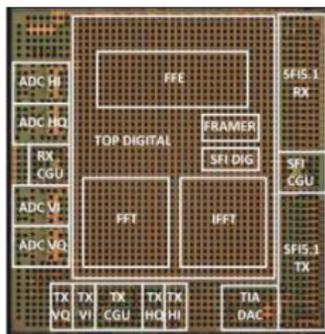


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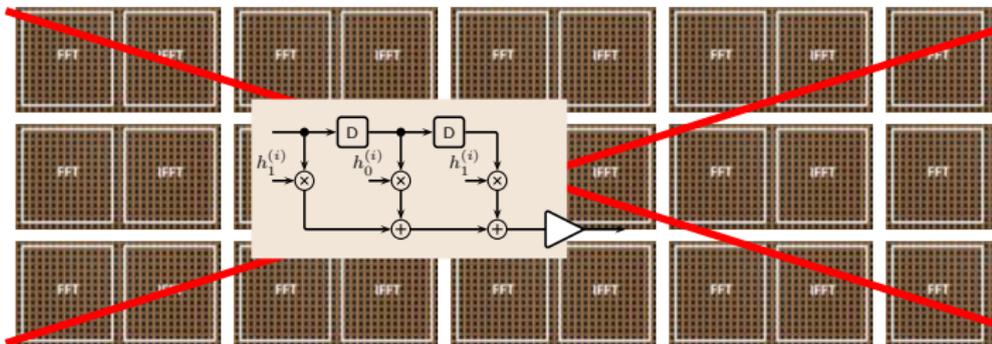


- [Fougstedt et al., 2017], Time-domain digital back propagation: Algorithm and finite-precision implementation aspects, (*OFC*)
 [Fougstedt et al., 2018], ASIC implementation of time-domain digital back propagation for coherent receivers, (*PTL*)
 [Sherborne et al., 2018], On the impact of fixed point hardware for optical fiber nonlinearity compensation algorithms, (*JLT*)

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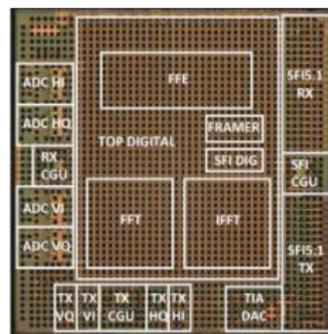


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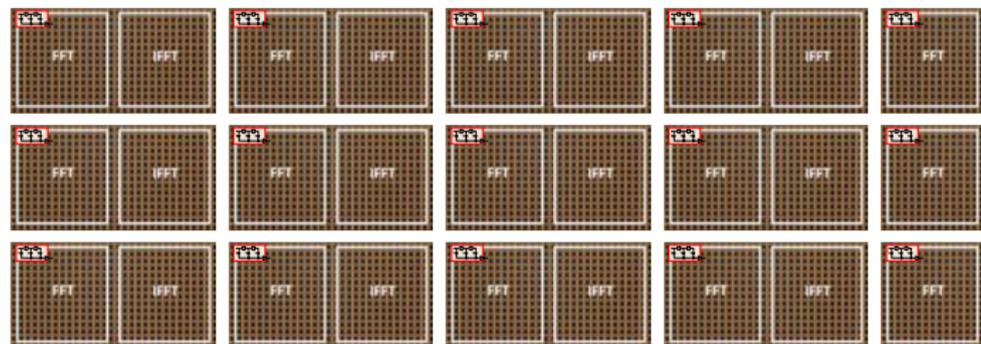


- Our linear steps are **very short symmetric FIR filters** (as few as **3 taps**)

Real-Time ASIC Implementation



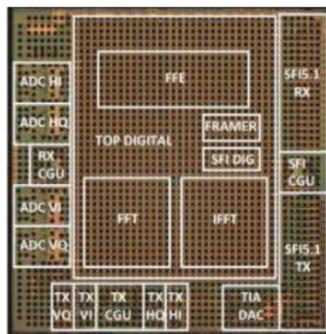
[Crivelli et al., 2014]



- Our linear steps are **very short symmetric FIR filters** (as few as **3 taps**)
- 28-nm ASIC at 416.7 MHz clock speed (40 GHz signal)
 - **Only 5-6 bit** filter coefficients via **learned quantization**
 - Hardware-friendly nonlinear steps (Taylor expansion)
 - All FIR filters are **fully reconfigurable**

[Fougstedt et al., 2018], ASIC implementation of time-domain digital backpropagation with deep-learned chromatic dispersion filters, (ECOC)

Real-Time ASIC Implementation



[Crivelli et al., 2014]



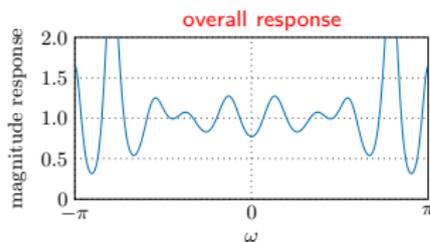
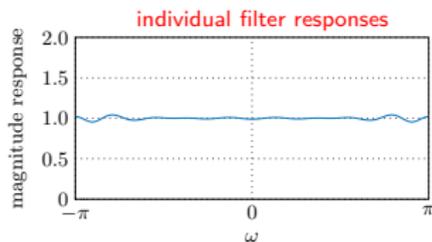
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 - **Hardware-friendly nonlinear steps (Taylor expansion)**
 - **All FIR filters are fully reconfigurable**
- **< 2× power compared to EDC** [Crivelli et al., 2014, Pillai et al., 2014]

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Why Does The Learning Approach Work?

Previous work: design a single filter or filter pair and use it repeatedly.

⇒ Good overall response only possible with very long filters.



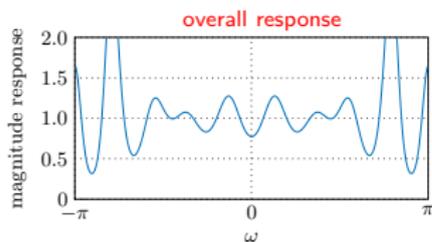
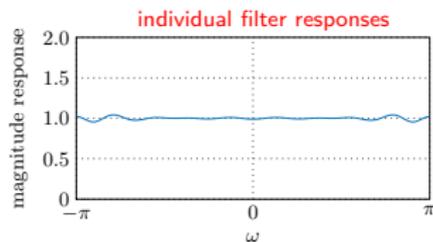
From [Ip and Kahn, 2009]:

- “We also note that [. . .] 70 taps, is much larger than expected”
- “This is due to amplitude ringing in the frequency domain”
- “Since backpropagation requires multiple iterations of the linear filter, amplitude distortion due to ringing accumulates (Goldfarb & Li, 2009)”

Why Does The Learning Approach Work?

Previous work: design a single filter or filter pair and use it repeatedly.

⇒ Good overall response only possible with very long filters.



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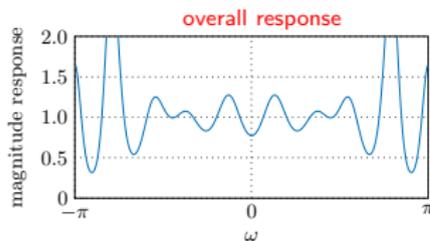
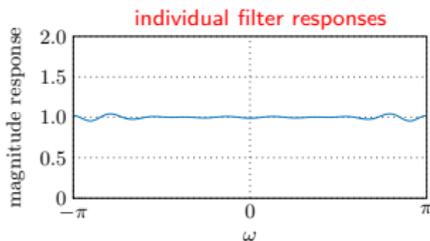
The learning approach uncovered that there is no such requirement!

[Lian, Häger, Pfister, 2018], What can machine learning teach us about communications? (ITW)

Why Does The Learning Approach Work?

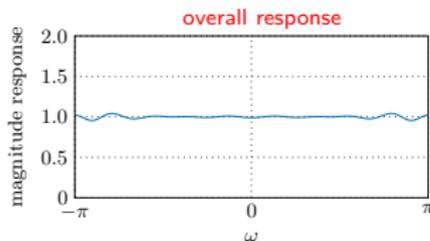
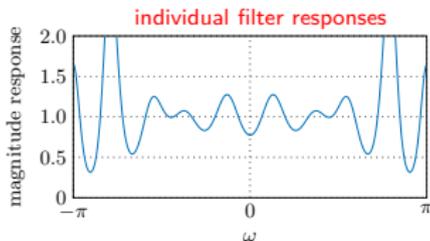
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Sacrifice individual filter accuracy, but different response per step.

⇒ Good overall response even with very short filters by joint optimization.



Experimental Investigations

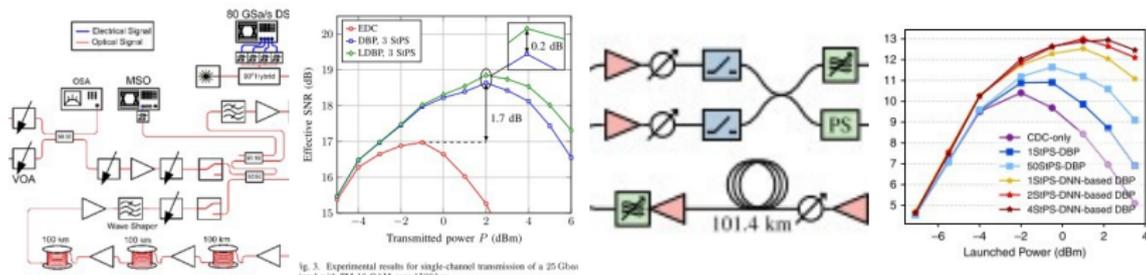


Fig. 3. Experimental results for single-channel transmission of a 25 Gba/s

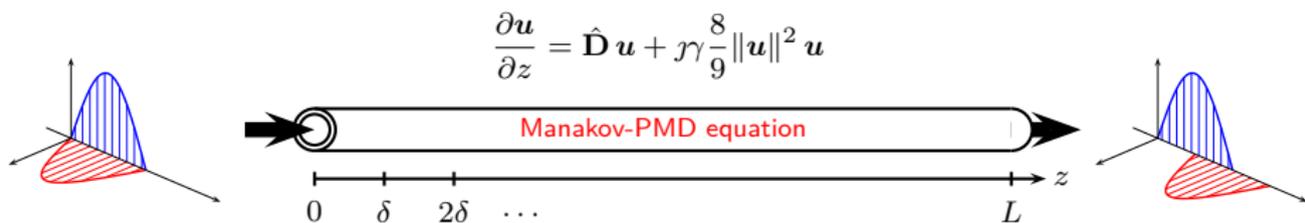
Training with **real-world data sets** including presence of various **hardware impairments** (phase noise, timing error, frequency offset, etc.)

- [Oliari et al., 2020], Revisiting Efficient Multi-step Nonlinearity Compensation with Machine Learning: An Experimental Demonstration, (*J. Lightw. Technol.*)
- [Sillekens et al., 2020], Experimental Demonstration of Learned Time-domain Digital Back-propagation, (*Proc. IEEE Workshop on Signal Processing Systems*)
- [Fan et al., 2020], Advancing Theoretical Understanding and Practical Performance of Signal Processing for Nonlinear Optical Communications through Machine Learning, (*Nat. Commun.*)
- [Bitachon et al., 2020], Deep learning based Digital Back Propagation Demonstrating SNR gain at Low Complexity in a 1200 km Transmission Link, (*Opt. Express*)

Outline

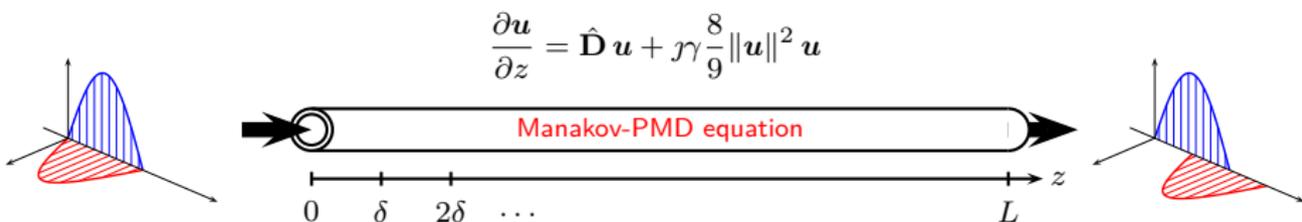
1. Machine Learning and Neural Networks for Communications
2. Physics-Based Machine Learning for Fiber-Optic Communications
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Evolution of Polarization-Multiplexed Signals

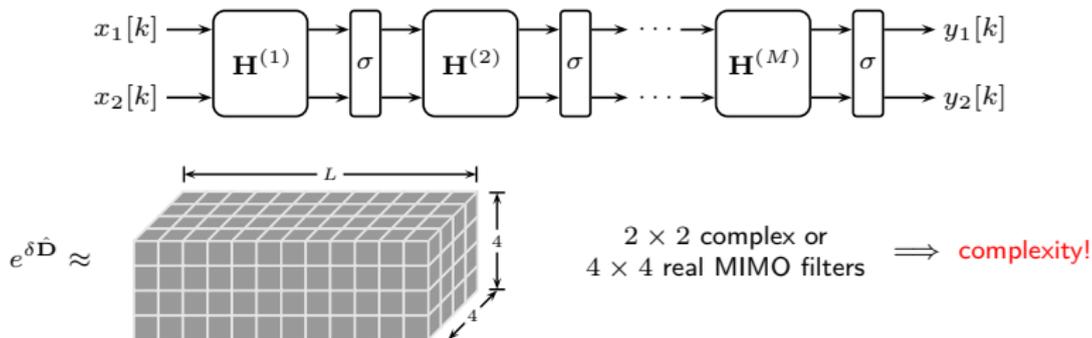


- Jones vector $\mathbf{u} \triangleq (u_1(t, z), u_2(t, z))^T$ with complex baseband signals
- **linear operator $\hat{\mathbf{D}}$** : attenuation, chromatic & polarization mode dispersion

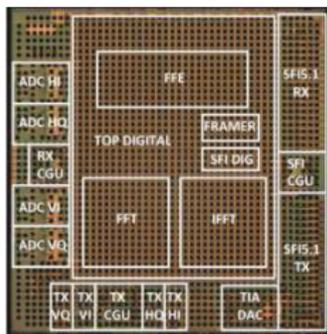
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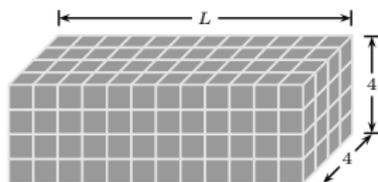
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- **linear operator $\hat{\mathbf{D}}$** : attenuation, chromatic & polarization mode dispersion
- Split-step method: **alternate linear and nonlinear steps** $\sigma(\mathbf{x}) = \mathbf{x} e^{\gamma \frac{8}{9} \delta \|\mathbf{x}\|^2}$



Real-Time Compensation of Polarization Impairments

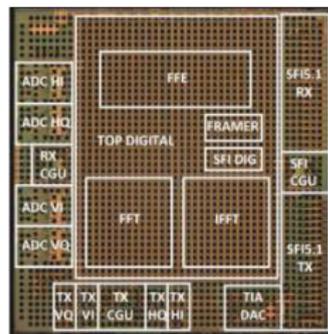


[Crivelli et al., 2014]

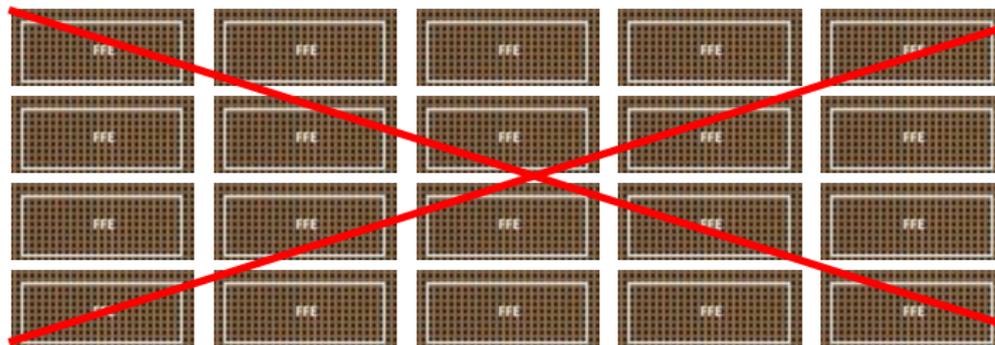


- **time-varying** effects (e.g., drifts) & a priori **unknown realizations**
- \implies **adaptive filtering** (via stochastic gradient descent) required

Real-Time Compensation of Polarization Impairments



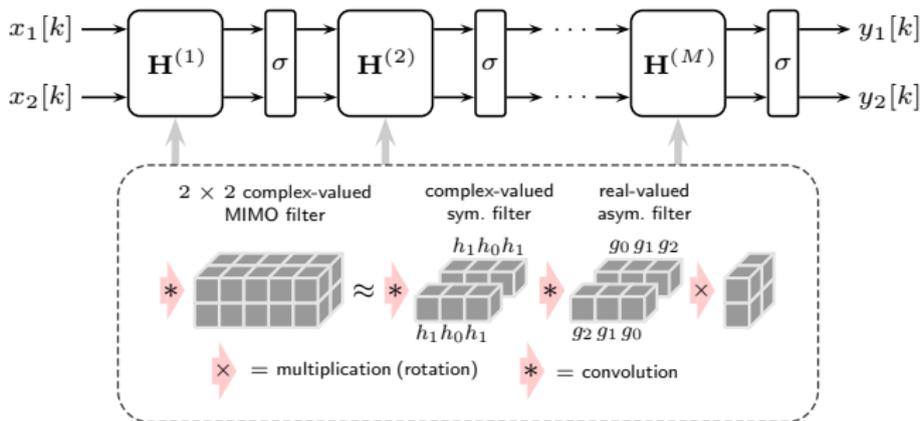
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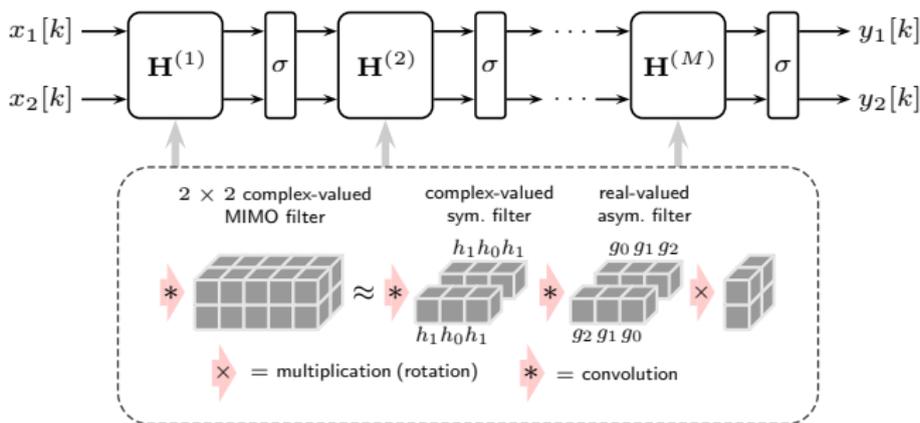
Using (and updating) **full MIMO filters** in each step is **not feasible**.

Our approach: Factorize each MIMO Filter



- 5-tap real-valued filters to approximate **first-order PMD (DGD)**
- **Memoryless rotations** $\begin{pmatrix} a & -b^* \\ b & a^* \end{pmatrix}$, where $a, b \in \mathbb{C}$ (4 real parameters)

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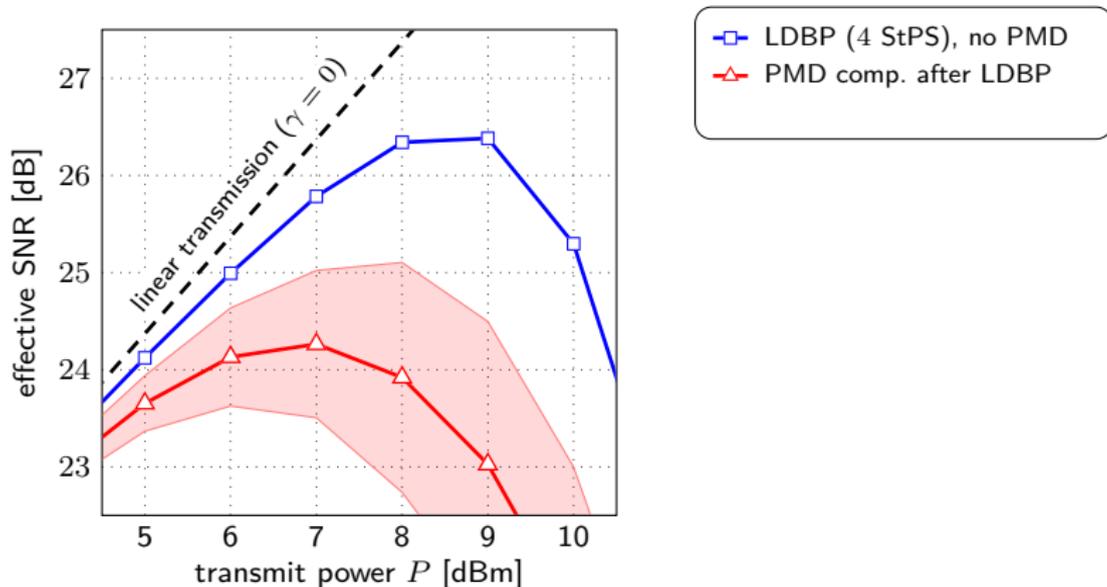
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- **Assumes no knowledge** about PMD realizations or **accumulated PMD**
- FIR-filter based! **Avoids frequency-domain** (FFT-based) filtering

[Goroshko et al., 2016]. Overcoming performance limitations of digital back propagation due to polarization mode dispersion, (*CTON*)

[Czegledi et al., 2017]. Digital backpropagation accounting for polarization-mode dispersion, (*Opt. Express*)

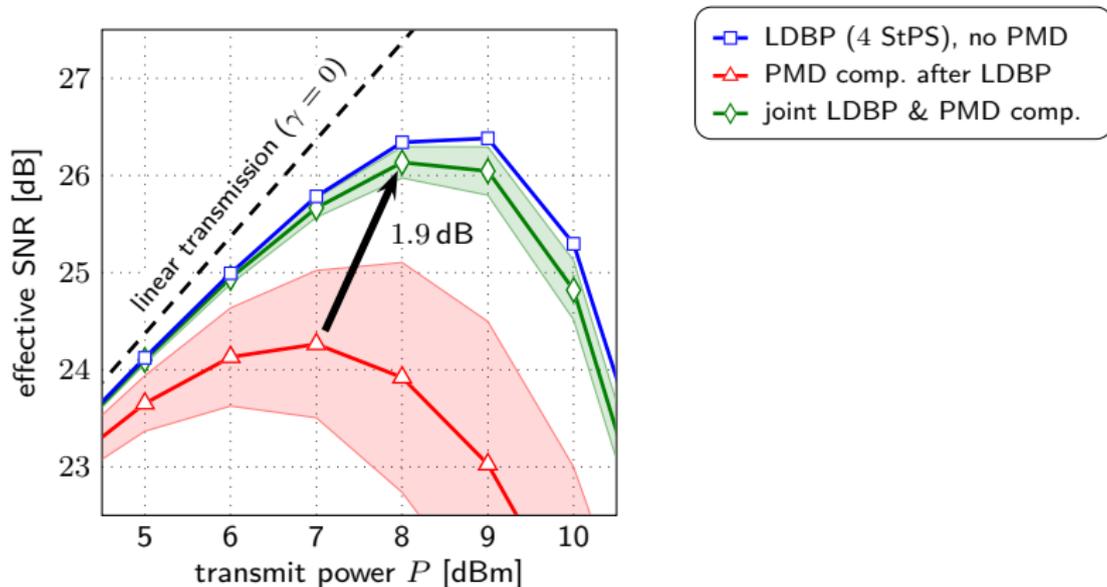
[Liga et al., 2018]. A PMD-adaptive DBP receiver based on SNR optimization, (*OFC*)

Results (32 Gbaud, 10×100 km, $0.2 \text{ ps}/\sqrt{\text{km}}$ PMD)



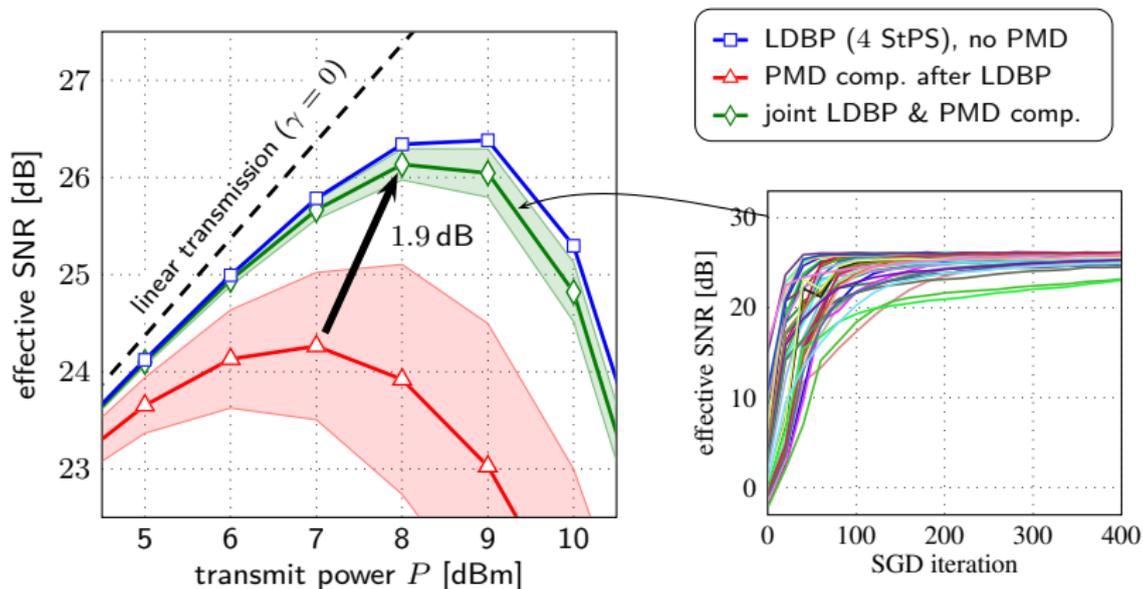
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- Similar parameters & simulation setup compared to [Czegledi et al., 2016], results averaged over 40 PMD realizations
- **Reliable convergence** “from scratch” + only 9 real parameters per step

Outline

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Wideband Time-Domain Backpropagation

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Example

Consider a **96-Gbaud signal**, where **delay spread is 125 symbol periods** per 100 km (alternatively: **superchannel** or **multiple WDM channels**).

- Power estimate for 1500 km and 20 Gbaud: $2 \times 15 \times 0.18 \text{ W} = 5.4 \text{ W}$
- **Quadratic scaling**: $\approx 25 \times 5.4 \text{ W} = 135 \text{ W}$ (full DBP)
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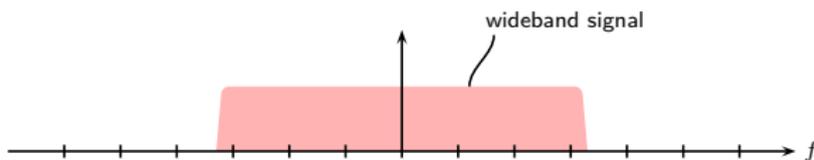
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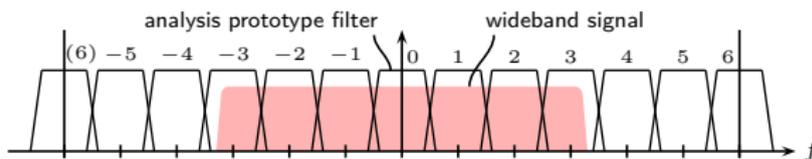
Question

Is it possible to **scale** the **time-domain / deep learning** approach gracefully to **larger bandwidths**?

Wideband Signals and Subband Processing



Wideband Signals and Subband Processing



- Subband processing: **split** received signal into N **parallel signals**

[Taylor, 2008], Compact digital dispersion compensation algorithms, (*OFC*)

[Ho, 2009], Subband equaliser for chromatic dispersion of optical fibre, (*Electronics Lett.*)

[Slim et al., 2013], Delayed single-tap frequency-domain chromatic-dispersion compensation, (*PTL*)

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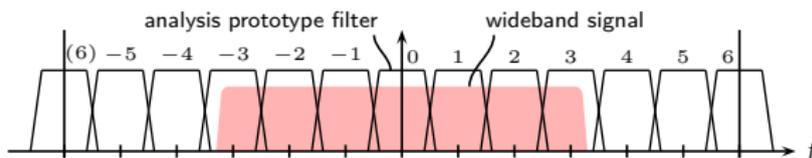
[Mateo et al., 2010], Efficient compensation of inter-channel nonlinear effects via digital backward ..., (*Opt. Express*)

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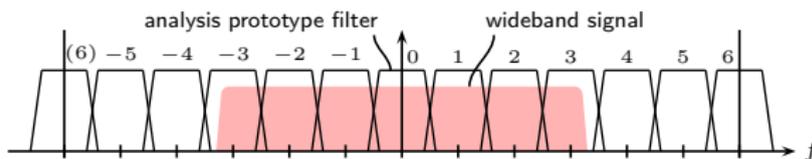
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- Similar structure as popular **convolutional neural networks** (alternating **filter banks** and nonlinearities)
- MIMO filter **accounts for cross-phase modulation (XPM)** between subbands [Leibrich and Rosenkranz, 2003]

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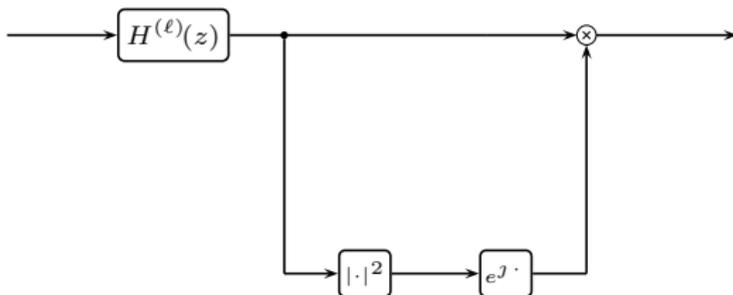
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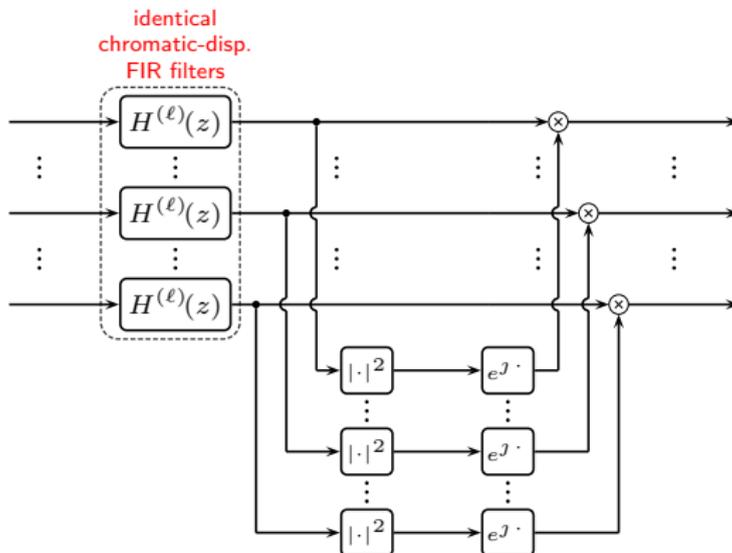
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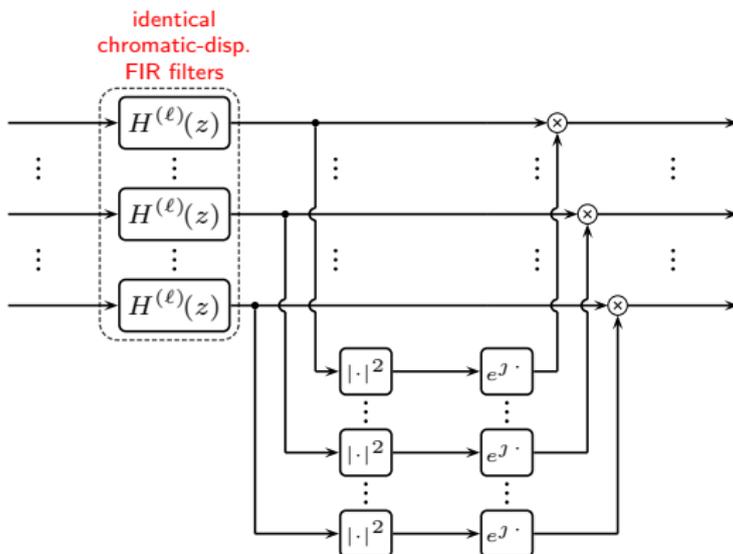
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Proposed DSP Architecture (ℓ -th Step)

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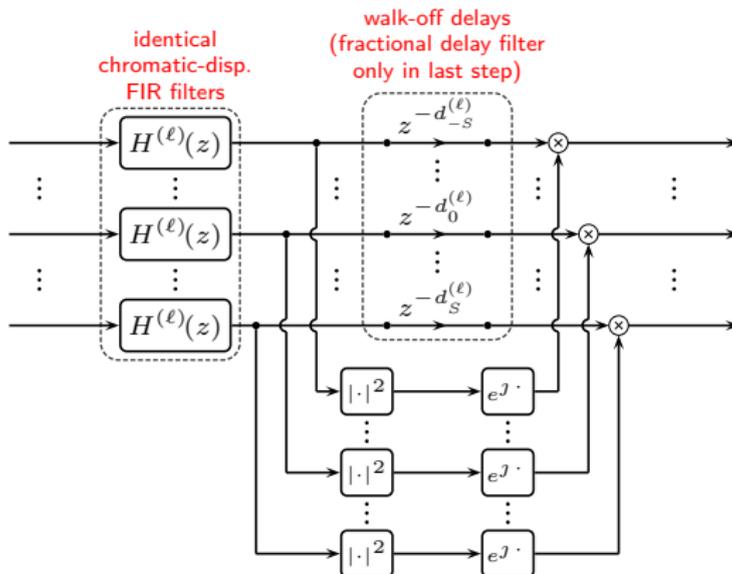


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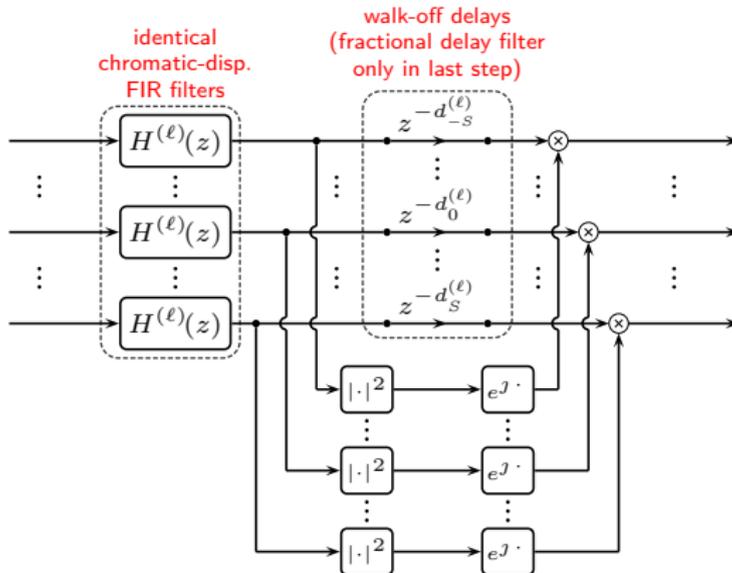
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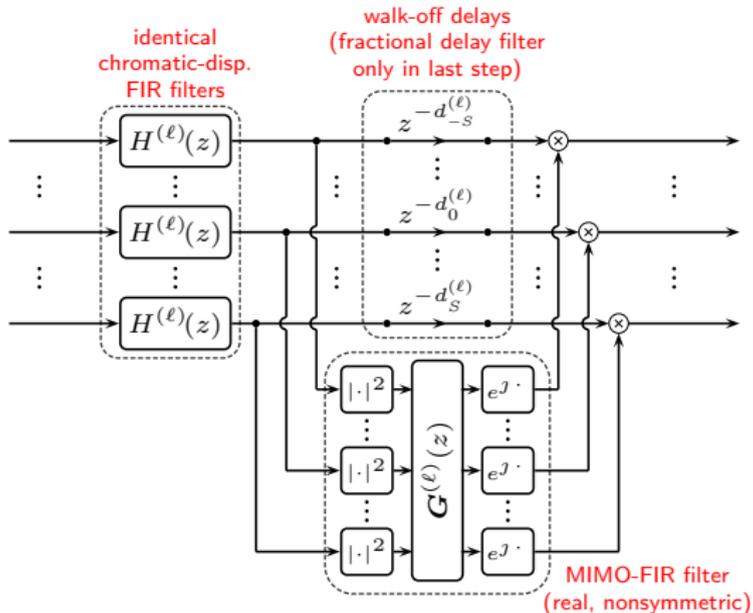


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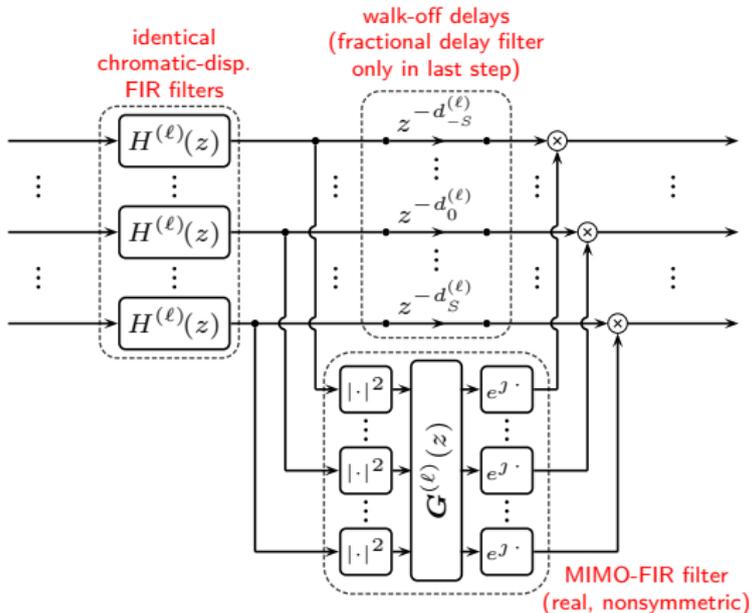
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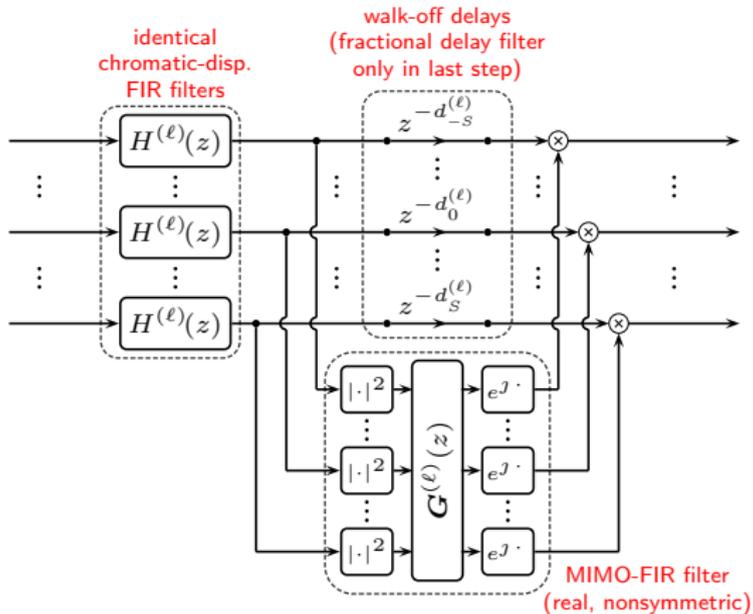


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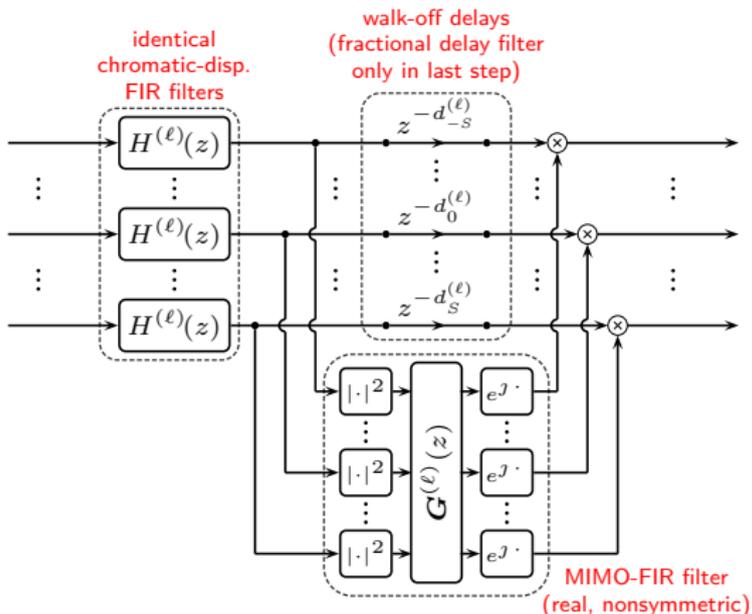


- **Hardware-efficient** implementation (**no FFT/IFFT**) of split-step method for **coupled NLSEs** [Leibrich and Rosenkranz, 2003], see also [Mateo et al., 2010]
- Only **accounts for XPM** between subbands, but not FWM

Proposed DSP Architecture (ℓ -th Step)

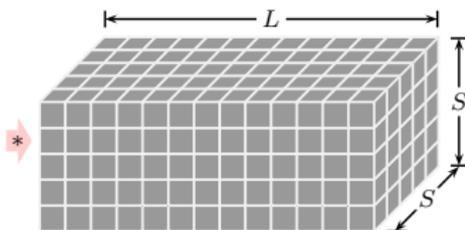


Proposed DSP Architecture (ℓ -th Step)



- “Unrolling” all steps gives a **deep, multi-layer computation graph**
- **Deep learning** to jointly optimize filters $H^{(\ell)}(z)$, $\mathbf{G}^{(\ell)}(z)$ in all steps by maximizing **effective SNR** based on stochastic **gradient descent**
- Iteratively **prune** (set to 0) the outermost taps to get **very short filters**

Wideband Signals and Subband Processing



* = convolution with intensity signals

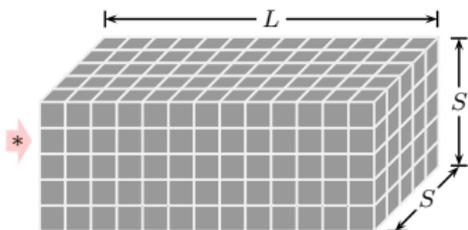


= nonzero coefficient



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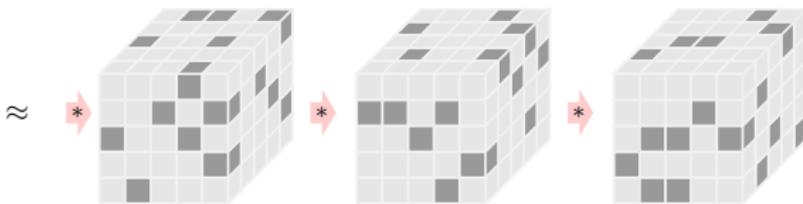
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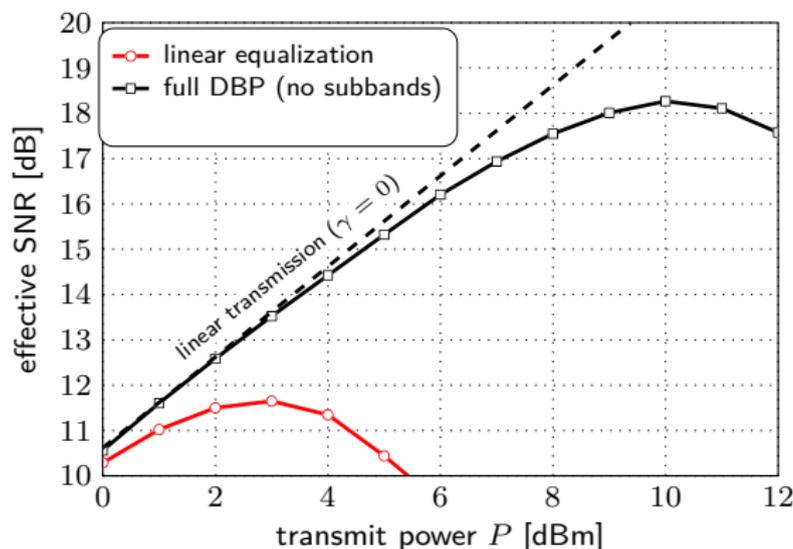
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- L_1 -norm regularization applied to filter coefficients during gradient descent
- \implies 92% of coefficients are zero with little performance penalty

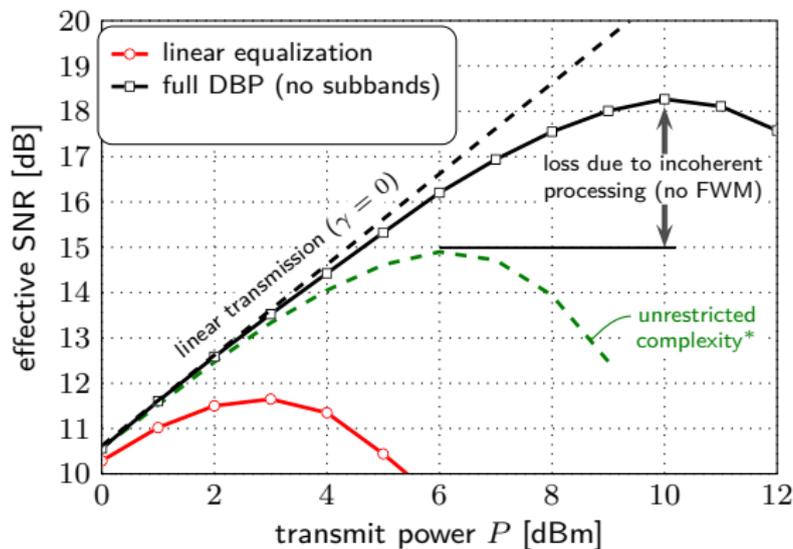
Results (12 Subbands for 96 Gbaud)



System parameters:

- 25×100 km fiber
- 96 Gbaud
- Gaussian symbols, single pol.
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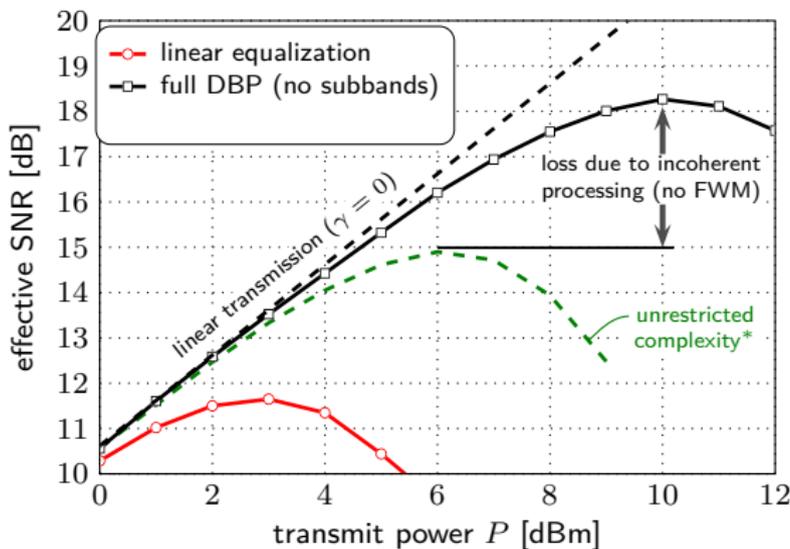


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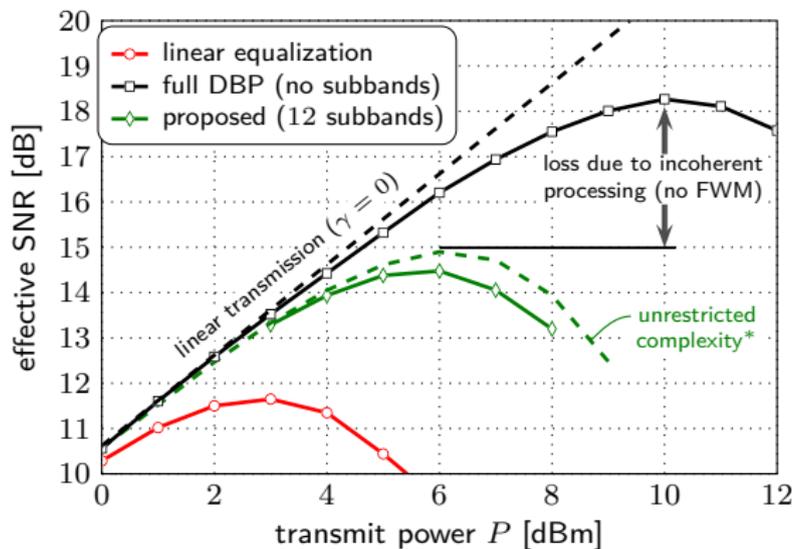
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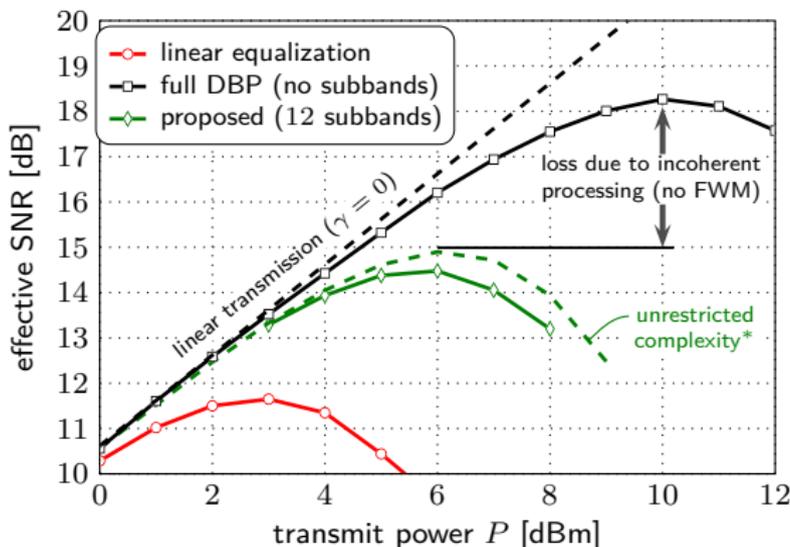
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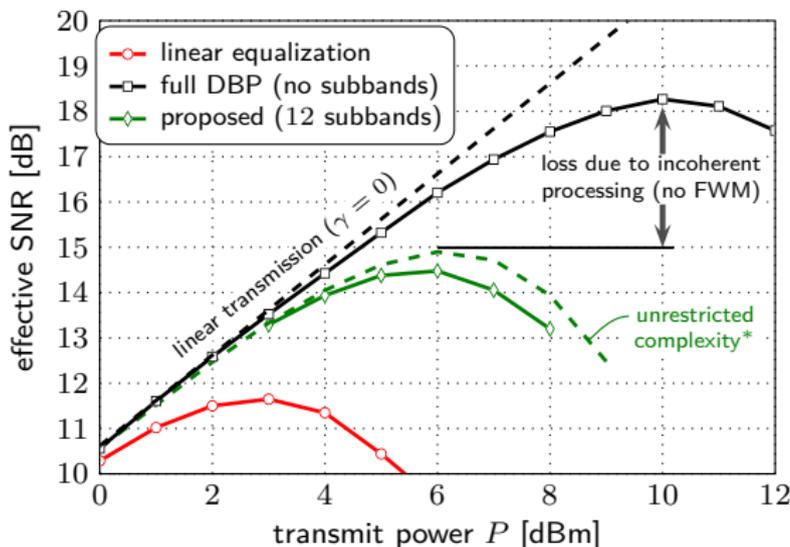
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- 7-tap **learned filters** (16 real multpl.), **sparse MIMO filters** (8 real multpl.)
- $> 4\times$ **less real multipl.** compared to FFT/IFFT [Mateo et al., 2010]
- $\approx 2 - 3\times$ **less complexity** compared to full DBP (estimated)

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The Bigger Picture

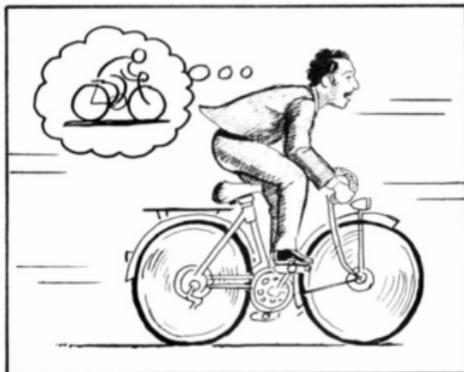
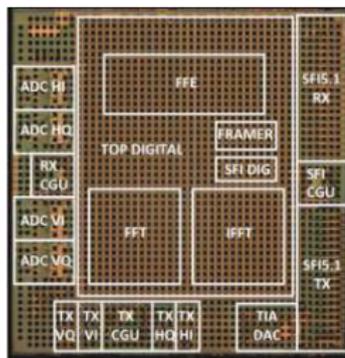


Figure 1. A World Model, from Scott McCloud's *Understanding Comics*. (McCloud, 1993; E, 2012)



[Crivelli et al., 2014]

- Optical receivers build models of their "environment"

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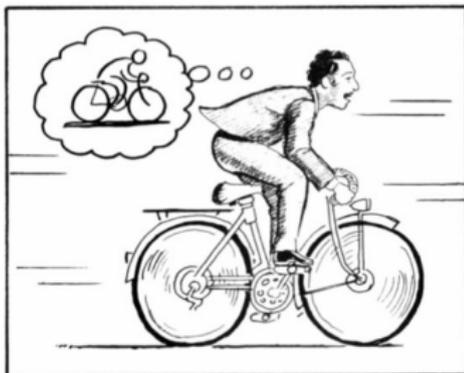
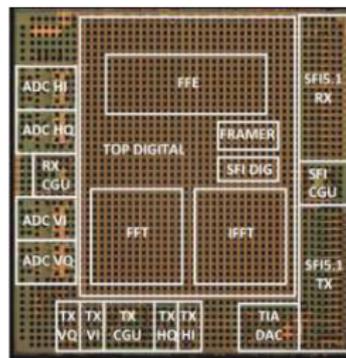


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[Crivelli et al., 2014]

- Optical receivers build models of their "environment"
- Currently these models are **linear** and/or **rigid** (non-adaptive)
- Interpretable **physics-based "multi-layer" models** for machine learning can be obtained by exploiting our existing domain knowledge

[Ha & Schmidhuber, 2018], "World Models", arXiv:1803.10122 [cs.LG]

Conclusions

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neural-network-based ML

universal function approximators

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Thank you!



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